

Creating BUA Protocols with RIMS

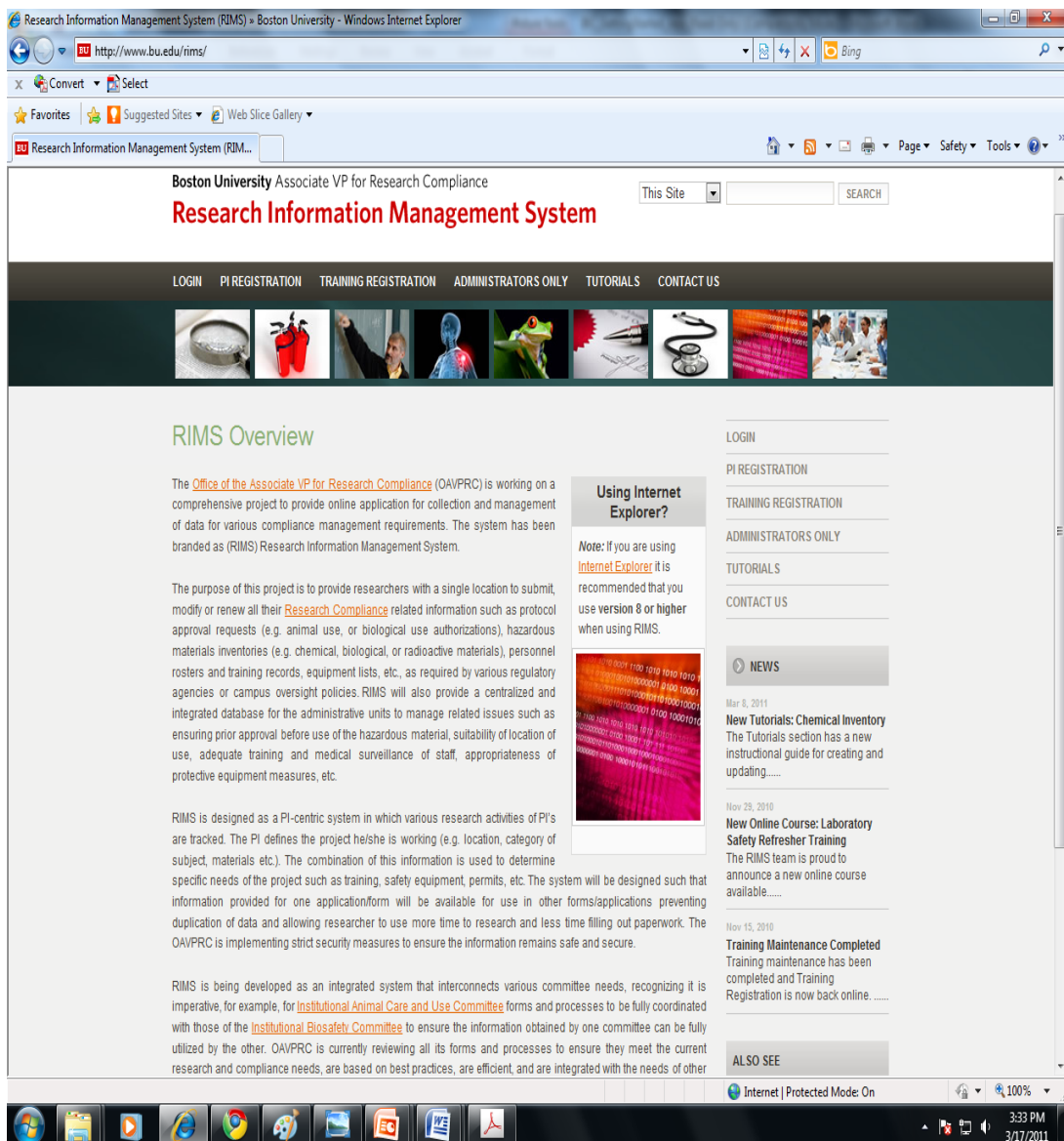
*A guide to creating online applications for submittal to the
Boston University IBC*

Last Update: 8-April-2011



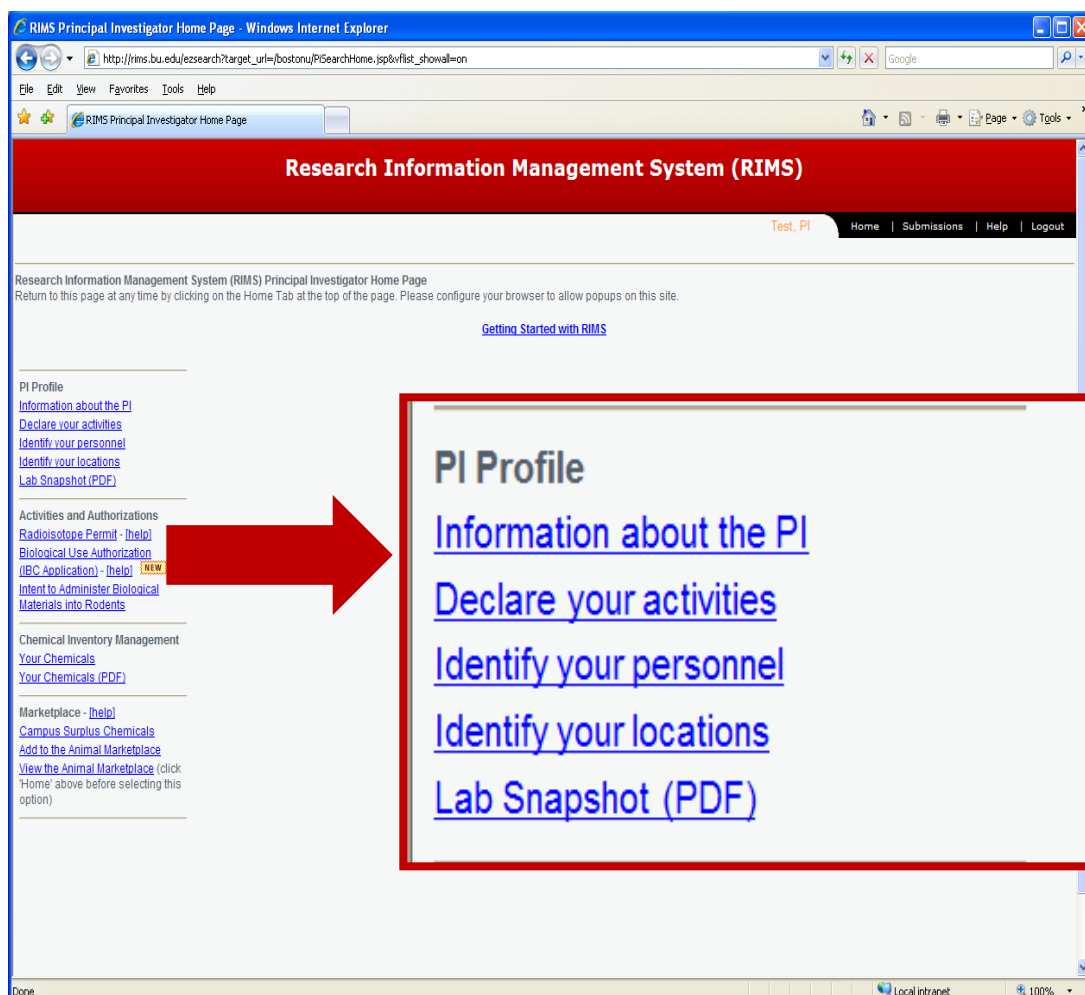
Getting Started

- Log into RIMS
 - <http://www.bu.edu/rims>
 - Click on “Login” and enter your BU username and Kerberos password
- You will then be directed to your RIMS homepage



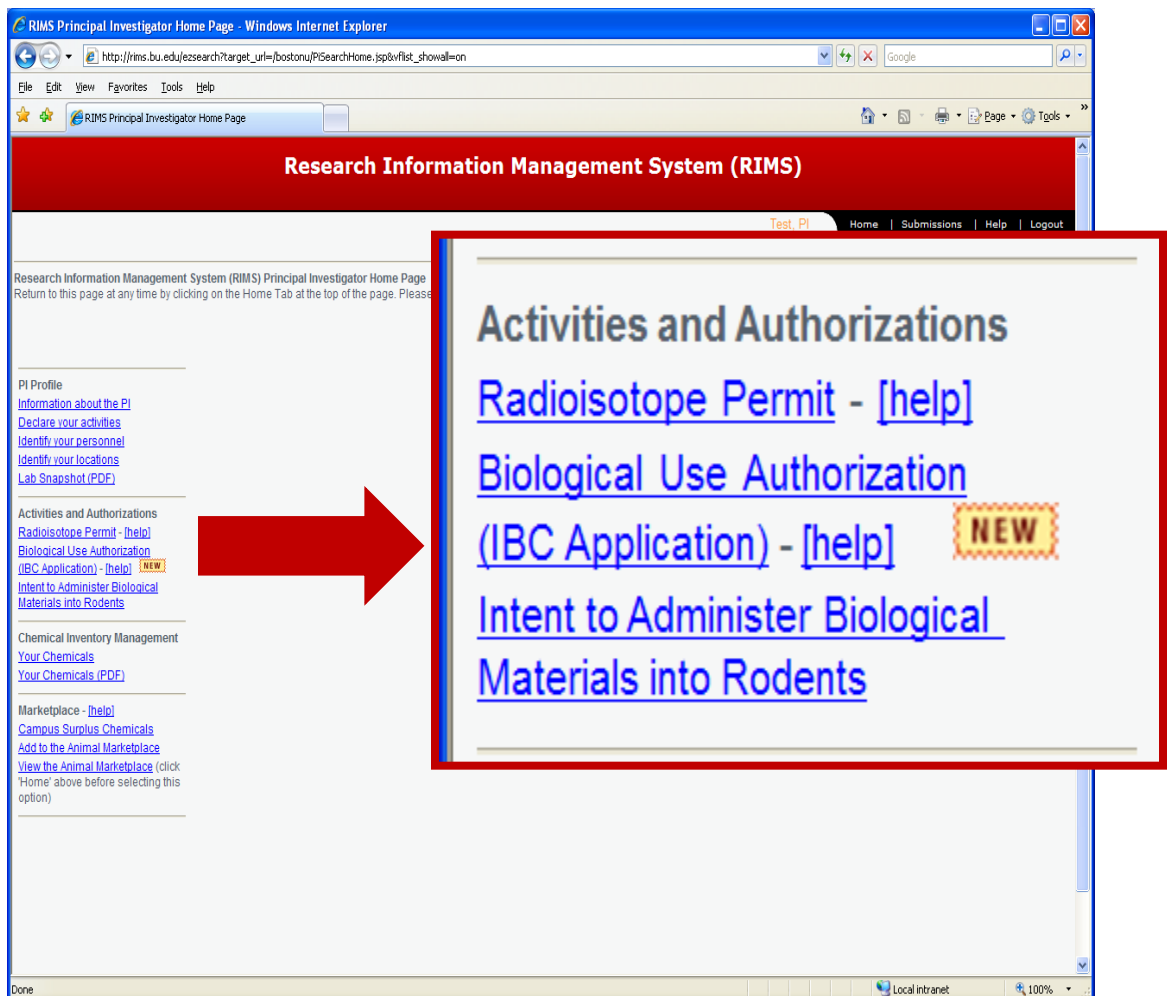
Prerequisites

- The first page you see when you log in will be your personalized RIMS homepage. This will be covered in more detail in another presentation, but for now,
- Ensure that your PI Profile is complete and accurate:
 - Information about the PI
 - Includes office and lab information, and emergency contact information
 - Declare your activities
 - Activities related to your research
 - Identify your personnel
 - List of all personnel in your lab
 - Identify your locations
 - List of your lab locations



BUA Application

- To start or edit a BUA application, you'll need to go to the BUA Application homepage.
- From the PI homepage, select "Biological Use Authorization (IBC Application)"



BUA Application

- This page displays all of your BUA applications and the status for each. Older BUA applications (submitted via Word) may not appear.
- Each row in the below table represents a **different** application. Thus, if you have 3 BUAs, you will have 3 entries. In this example, this PI has 6 BUAs in various stages. Each application (row) is the most recent version of your application, including all amendments and revisions.

Research Information M

Biological Use Authorization - (IBC Application) [Select a different package](#)
[Help and Frequently Asked Questions](#)
[Click here to start a new Biological Use Authorization - \(IBC Application\)](#)
 Click on the Id to open, view or edit a Biological Use Authorization - (IBC Application)
 To view the reviewer's comments, click on the *Review* hyperlink
 Contact: [IBC Office](#)

Id	Created	Last Modified	Submission Status
704	6/24/10	6/24/10	Unfinished
703	6/24/10	6/24/10	Submitted 6/24/10 (UNEVALUATED - Review Pending) Print 6/24/10 Summary Archives
552	1/26/10	6/1/10	Submitted 6/24/10 (UNEVALUATED - Review Pending) Print 6/24/10 Summary Archives
546	1/22/10	3/1/10	Submitted 4/16/10 (ACCEPTED) Reviewer Comments Archives Print 4/16/10 Summary

BUA Application

- **ID:** Identification number for a specific BUA
- **Create and Last Modified:** Dates referring to when the application was started and last modified by the PI
- **Submission Status:** Tells you where in the process your application is
 - Unfinished: Not yet submitted to the IBC
 - Unevaluated – Review Pending: Submitted to the IBC, but not reviewed yet
 - Accepted: Accepted by the IBC
 - Declined: Declined by the IBC
 - Deleted: Removed from the system (could be a duplicate protocol, or an expired one, etc.)
- **Archives:** View the protocol's history

Research Information M

Biological Use Authorization - (IBC Application) [Select a different package](#)
[Help and Frequently Asked Questions](#)
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546	1/22/10	3/1/10	Submitted 4/16/10 (ACCEPTED) Reviewer Comments Print 4/16/10 Summary

BUA Application

- **Start a new BUA application**
 - Select “Click here to start a new Biological Use Authorization”
- **Edit an existing BUA application** (e.g., for an amendment)
 - Click on the ID number of the application you would like to edit
- **Print Application Summary**
 - Will print a PDF of the most recent submission of your application
- **Archives**
 - Allows you to view a history of a previously submitted BUA
- **Help**
 - Help and Frequently Asked Questions

Research Information M

Biological Use Authorization - (IBC Application) [Select a different package](#)

[Help and Frequently Asked Questions](#)

[Click here to start a new Biological Use Authorization - \(IBC Application\)](#)

Click on the Id to open, view or edit a Biological Use Authorization - (IBC Application)

To view the reviewer's comments, click on the *Review* hyperlink

Contact: [IBC Office](#)

Id	Created	Last Modified	Submission Status
704	6/24/10	6/24/10	Unfinished
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552	1/26/10	6/1/10	Submitted 6/24/10 (UNEVALUATED - Review Pending) Archives
546	1/22/10	3/1/10	Submitted 4/16/10 (ACCEPTED) Reviewer Comments Archives Print 4/16/10 Summary

Starting a new BUA Application

- To start a new BUA application, select the appropriate link (see the last slide).
- Editing an existing application? Click the number of the appropriate application
- **Reminder: click “SAVE CHANGES” at the bottom of each page!**
- **Note:** Some yes/no questions may require further information. When you answer a question, it is good practice to click on a blank section of the screen to display any related questions (if there are any).

The screenshot displays the Boston University RIMS Online Forms interface within a Windows Internet Explorer browser. The address bar shows the URL: <http://rims.bu.edu/servlet/search?plg=18598ProtoSubmission=7046SubmissionClass=12>. The page title is "Boston University RIMS Online Forms".

The interface includes a navigation bar with links: Home, Submissions, Help, and Logout. A progress bar at the top indicates the current step: "Step 1 of 3: Complete the forms". Other steps listed are "Step 2: Add a cover sheet" and "Step 3: Submit your package".

The main content area is titled "BUA Agreement Po. (1)". It contains a form with the following fields:

- PI Name Test, PI
- PI BU ID U55982740
- PI BU Alias bigold

Below these fields is a question: "Is the following IBC application complete in its entirety?". The answer is "No".

A large red arrow points to a "Save Changes" button located at the bottom right of the form. The button is highlighted with a blue border and a black background with white text.

At the bottom of the page, there are links for "Print", "Save Changes", and "Cancel Changes".

BUA Application in 3 Steps

- 1. Fill out the forms
 - Each form will be covered later in this presentation
- 2. Fill out a cover sheet to send to the IBC (optional, but highly recommended)
- 3. Submit your application

The screenshot displays the Boston University RIMS Online Forms interface in a Windows Internet Explorer browser window. The address bar shows the URL: <http://rims.bu.edu/servlet/search?pkg=1859&ProtoSubmission=704&SubmissionClass=12>. The page title is "Boston University RIMS Online Forms".

The interface includes a navigation bar with links: [Home](#), [Submissions](#), [Help](#), and [Logout](#). The user is logged in as "Test, PI".

The main content area is titled "Step 1 of 3" and "BUA Agreement Po. (1)". It contains a form with the following fields:

- PI Name: Test, PI
- PI BU ID: U55982740
- PI BU Alias: bigold

Below the form, there is a section titled "Is the following IBC application complete in its entirety?" with a list of checkboxes for various research activities:

- Grant Funding Information
- Personnel
- Research Laboratory Facility Information
- Dual Use Research of Concern
- Research Project Description
- Materials Used in Research
 - Hazardous Biological Agent
 - Potentially Infectious Material
 - Human Embryonic Stem Cells
 - Select Biological Toxins
- Field Study with Animals or Insect Vectors
- High Hazard Chemical
- Radiation and X-ray
- Recombinant DNA
- Public Health Commission
- Personal Protective Equipment and Safety Equipment

At the bottom of the form, there is a "Tips" section with instructions on how to use the system and a "Finished?" section with links to the [Cover Sheet](#) and [confirm your submission](#).

Three large arrows are overlaid on the screenshot to indicate the three steps of the application process:

- A blue arrow labeled "Forms" points to the main form area.
- A green arrow labeled "Cover Sheet" points to the "Cover Sheet" link in the "Finished?" section.
- A yellow arrow labeled "Submit" points to the "confirm your submission" link in the "Finished?" section.

Cover Sheet

- On the cover sheet you may write any comments, questions, etc. to the IBC Office, regarding your application. This is optional, but highly recommended.
- When you have finished with your comments, press the “Save Comments and Submit” button

Test, PI Home

Step 1: Complete the forms Step 2: Add a cover sheet Step 3: Submit your package Help and FAQ Submit

Submission Cover Sheet: Biological Use Authorization - (IBC Application)

Cover Sheet Instructions

Sometimes you may wish to send extra notes regarding the forms being submitted, but can find no place on the forms themselves for such notes. The "Submitter's Comments" field, on this cover sheet, provides a place for such notes. The cover sheet is submitted at the same time as the forms, and is stored together with the archived forms. Additional comments are not required for a submittal. Save your additional comments by clicking on the Save Comments button. To finish your submittal, click on the Submit button at the bottom of this page.

II. Attached Comments

PI Comments

Save Comments and Submit

Submittal

- This page contains a summary of your application. You can see from the “number of copies” column how many forms have been filled out.
 - The BUA Policy will always be 0. Other forms that might be 0 would be those forms under Materials Used in Research that you are not using – so if you are not using Stem Cells, then the value of that form will be 0.
 - The number next to the Personnel, Research Laboratory Facility Information, Hazardous Biological Agent, and High Hazard Chemicals refers to the number of these that you have added. So if you have added 4 personnel (including yourself) the value of Personnel will be 4.
- On the submission page, you still need to “Confirm Submission”. This will send your application to the IBC Office.

Step 1: Complete the forms Step 2: Add a cover sheet Step 3: Submit your package Help and FAQ Submit

Submission Cover Sheet: Biological Use Authorization - (IBC Application)

You're almost done! Review the contents of your submission and click on the **Confirm Submission** button at the bottom of this page. The submission recipient will be notified of your submission via email.

I. Submission Identification


Submission Contents: Biological Use Authorization - (IBC Application)
 From: Test, PI
 To: IBC Office
 Cover Sheet:

II. Form Contents

Form Name	Number of Copies
BUA Policy	0
Grant Funding Information	1
Principal Investigator	1
BUA Agreement Policy	1
Personnel	2
Research Laboratory Facility Information	1
Dual Use Research of Concern	0
Research Project Description	0
Personal Protective Equipment and Safety Equipment	0
Materials Used In Research	1
Hazardous Biological Agent	0
Potentially Infectious Material	1
Human Embryonic Stem Cells	0
Select Biological Toxins	0
Field Study with Animals or Insect Vectors	0
High Hazard Chemical	1
Radiation and X-ray	0
Recombinant DNA	1
Public Health Commission	1

Last Submitted: 7/12/10

Confirm Submission



Navigating my BUA Application

- To navigate between different sections in the application, use the left-hand hierarchy.
- The orange highlighted page is the section of the application you are currently viewing.
- You may navigate to any page with a blue hyperlink. To navigate to other (non-hyperlinked) pages in the application, you first need to navigate to the parent page, fill out all required information there, and save changes
 - As shown here, this PI can only navigate to the BUA policy and Principal Investigator sections. If you would like to navigate to the Grant Funding Information page, you must first complete the Principal Investigator section.
- When done, don't forget to **submit your application!**

Boston University RIMS Online Forms - Windows Internet Explorer

http://rims.bu.edu/servlet/search?pkg=18598&ProtoSubmission=7040&SubmissionClass=12

File Edit View Favorites Tools Help

Boston University RIMS Online Forms

Test, PI Home Submissions Help Logout

Step 1 of 3 Step 1: Complete the forms Step 2: Add a cover sheet Step 3: Submit your package Help and FAQ Submission Log Submission Home

Click on the Save Changes Button to save the form. After saving the form, click on the links below to complete ALL the forms in your submission package

BUA Policy

Principal Investigator

Grant Funding Information

BUA Agreement Policy

PI Name Test, PI

PI BU ID U55982740

PI BU Alias bigold

Is the following IBC application complete in its entirety?

Yes No

Click on the Save Changes Button to save the form. After saving the form, click on the links below to complete ALL the forms in your submission package

Boston University BUA Policy

Principal Investigator

Grant Funding Information

Personnel

Research Laboratory Facility Information

Dual Use Research of Concern

Research Project Description

Personal Protective Equipment and Safety Equipment

Materials Used in Research

Hazardous Biological Agent

Potentially Infectious Material

Human Embryonic Stem Cells

Select Biological Toxins

Field Study with Animals or Insect Vectors

High Hazard Chemical

Radiation and X-ray

Recombinant DNA

Public Health Commission

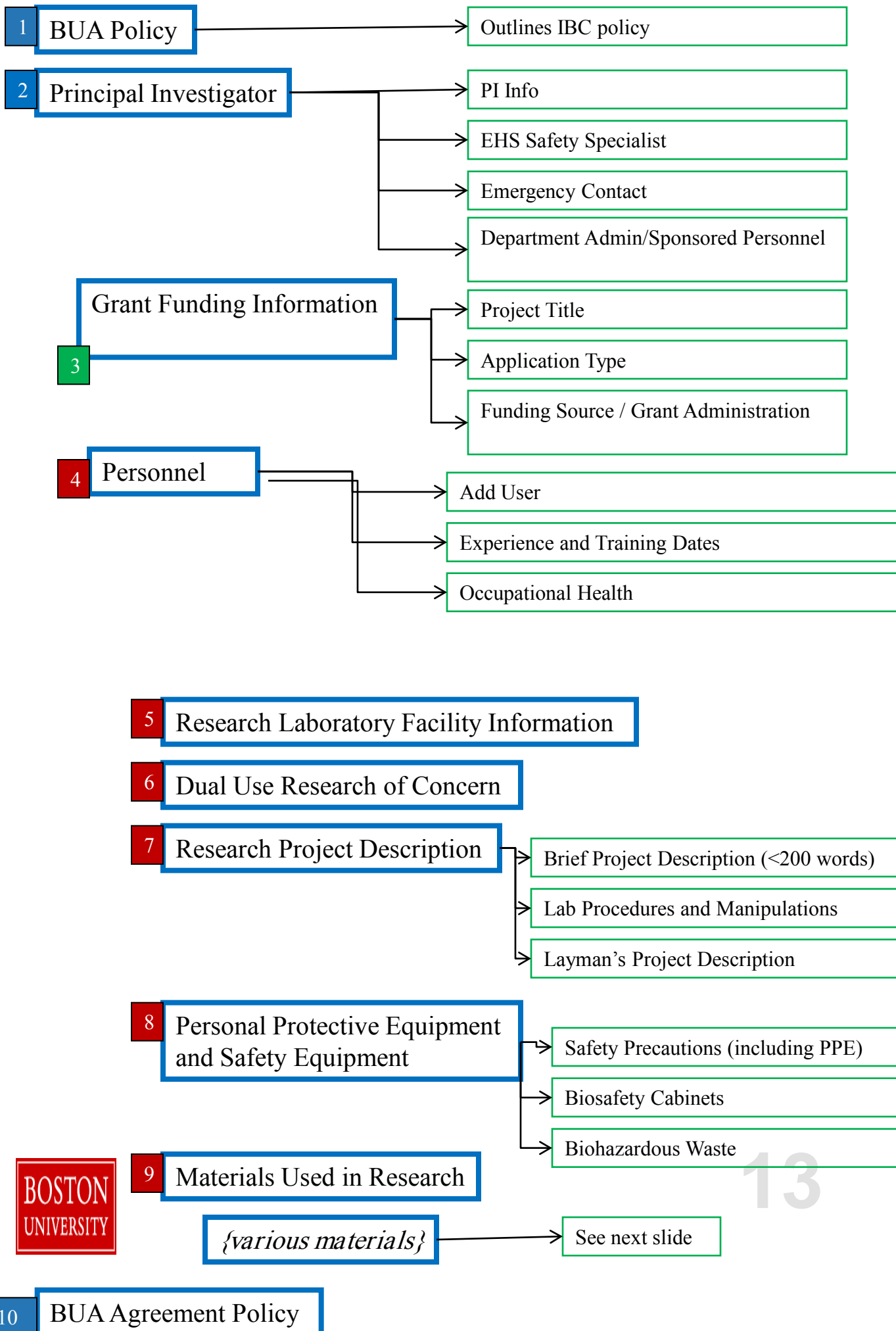
BUA Agreement Policy

Finished? Go to the Cover Sheet to send extra notes, or confirm your submission

Print Save Changes Cancel Changes

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BUA Application Components



BUA Application Components:

Materials Used in Research

9 Materials Used in Research

a Hazardous Biological Agent

Viruses, Bacteria, Fungi, Parasites, Rickettsia, Prion, Human Primary or Cell Lines, Non Human Primate Primary or Cell Lines

b Potentially Infectious Material

Other Human Material: Blood, Plasma, Serum, Unfixed Tissue, Organs, Unfixed Cells, Other; Other Non-Human Primate Material: Blood, Plasma, Serum, Unfixed Tissue, Organs, Unfixed Cells, Other; Sheep Material: Unfixed Tissue, Other

c Human Embryonic Stem Cells

d Select Biological Toxins

Abrin, Botulinum neurotoxins, Conotoxin, Clostridium perfringens epsilon toxin, Diacetoxyscirpenol (DAS), Ricin, Staphylococcal enterotoxins, Saxitoxin, Shiga-like ribosome inactivating proteins, Shigatoxin, Tetrodotoxin, T-2 toxin

e Field Study with Animals or Insect Vectors

Environmental or field studies with animals

f High Hazard Chemical

Use of a high hazard chemical

g Radiation and X-ray

Use of Radioactively-labeled compounds; Inject animals with radioactive-labeled compounds; X-ray or other imaging of specimens; Use of the irradiator

h Recombinant DNA

1 Public Health Commission

BUA Policy

- Details the IBC policy on working with recombinant DNA and other biohazardous materials

Online Form - BUA Policy

Page 1 of 1

Asterisks (*) indicate required fields

Help is available by clicking on the highlighted field label

Policy

All research work involving recombinant DNA; microbiological agents infectious to humans, animals or plants; select agents and biological toxins; materials from humans and non-human primates, transgenic animals, human gene clinical transfer, xenotransplant clinical studies and field studies involving animals must be reviewed and approved by [Boston University's Institutional Biosafety Committee \(IBC\)](#) and other applicable regulatory agencies before work can commence.

Responsibility

The Principal Investigator (PI) must complete, sign and submit the Recombinant DNA and Biological Materials Use Protocol to the IBC at 85 E. Newton Street, Fuller Building, M-810, Boston, MA 02118. The protocol will be reviewed and discussed at the next scheduled monthly committee meeting if it is received by the submission deadline. A PI who is submitting a new protocol for the first time must also provide a copy of the most current Bio-Sketch. The Bio-Sketch should follow the National Institute of Health (NIH) two-page format. The IBC may contact the PI for questions and comments prior to the scheduled monthly meeting. The PI must provide the information requested to avoid any delay in the review of the protocol. The PI should contact the IBC Office at 617-638-4263 or the Biosafety Office, Research Safety Division of the Office of Environmental Health and Safety (OEHS) at 617-638-8842 for assistance in completing the protocol. The PI must be a faculty member. Applicants who are not faculty members may be listed as an Associate Investigator under the supervision of the PI. Sponsored Personnel are individuals that are sponsored by the PI for an individual project or grant. Post Docs and Fellows that apply for grants under their own names can not apply for an IBC protocol. They must have a faculty sponsor.

Renewals and Updates

Once the protocol is approved, it will be active for three years. The PI must resubmit a completed protocol for review by the IBC after three years before it expires. The IBC Office will send the PI a renewal notice to request an annual update before each annual anniversary date of the approval. The form must be promptly completed and submitted back to the IBC Office.

Amendments

Amendments must be submitted (electronic & hard copy) for changes within an approved project. All changes should be detailed in the amendment form which must be reviewed and approved by the IBC.

Compliance

The laboratory facilities must be inspected within the year prior to approval of the protocol. All laboratory personnel must also complete their annually-required Laboratory Safety Training prior to approval of the protocol. PI's should call the Biosafety Office, Research Safety Division of OEHS for questions or assistance in this matter.

Click on the [Save Changes](#) Button to save the form. After saving the form, click on the links below to complete ALL the forms in your submission package

☐ [Boston University BUA Policy](#)

☐ [Principal Investigator](#)

- Grant Funding Information

- Personnel

- Research Laboratory Facility Information

- Dual Use Research of Concern

- Research Project Description

- Personal Protective Equipment and Safety Equipment

- Materials Used In Research

- Hazardous Biological Agent
- Potentially Infectious Material
- Human Embryonic Stem Cells
- Select Biological Toxins
- Field Study with Animals or Insect Vectors
- High Hazard Chemical
- Radiation and X-ray
- Recombinant DNA
- Public Health Commission

☐ [BUA Agreement Policy](#)

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Principal Investigator

- Most of this is drawn from the “Information about the PI” section of your PI Profile.
- Information about: PI, Emergency Contact, Department Administrator, Associate PIs, and Sponsored Personnel

BUA Policy

Principal Investigator

- Grant Funding Information

- Personnel
- Research Laboratory Information
- Dual Use Research of Concern
- Research Project Description
- Materials Used In Research
 - Hazardous Biological Agents
 - Potentially Infectious Agents
 - Human Embryonic Stem Cells
 - Select Biological Agents
 - Field Study with Animal Vectors
 - High Hazard Chemicals
 - Radiation and X-ray Sources
 - Recombinant DNA
 - Public Health Concerns
- Personal Protective Equipment
- Safety Equipment

BUA Agreement Policy

Online Form - Principal Investigator Page 1 of 2

Asterisks (*) indicate required fields Help is available by clicking on the highlighted field label

Click on the Save Changes button after completing this form.

Principal Investigator (MUST be BU Faculty member)

PI Full Name* Test, PI
 BU ID* U55982740
 BU Alias (Email)* bjgold
 Non BU Email
 Department* Accounting Department lookup
 School / College* College of Communication lookup
 Division / Section* bumv
 Highest Degree Obtained and Specialty
 Institution

Office and Lab Information
 PI Office Address Some Address on BU
 Office Phone* 555-6699
 Lab Phone* 555-1111
 Fax

Research Safety Inspections
 Requires Research Safety Inspections? ☒ Yes ☐ No
 Safety Specialist* test
 Phone 617-638-7647
 Email test@bu.edu

24 Hour Contact for Emergencies
 I am the Safety Coordinator for my lab:
 No ☒ Yes ☐
 Name* 24Hour, Name
 Emergency Phone* 5555555
 Mobile and/or Pager

Department Administrator
 (This is the individual who will be contacted if the PI cannot be reached. Administrative Contact Information is For Contact Purposes Only)
 Name
 Is the Department Administrator a Boston University employee?
☐ Yes ☐ No
 Address
 Phone
 Fax
 Email

Associate Principal Investigator
 Name
 BU ID
 School
 Department

Section
 Center
 Address
 Phone
 Fax
 Email

Sponsored Personnel
 Name
 BU ID
 School
 Dept
 Section
 Center
 Address
 Phone
 Fax
 Email

Grant Funding Information

- Application Type
 - New, 3 year resubmission, amendment, annual renewal
- Funding Source and Grant Administration
- PI CV in NIH format

Grant Funding In. (0)

Asterisks (*) indicate required fields

PI Name: *Test, PI

PI BU ID: *U55982740

PI BU Alias: *bigold

Project Titles: *

Specify if the research project is a

☒ New Project ☐ 3 Year Re-submittal

☐ Annual Renewal ☐ Amendment

What is the source of funding*

☐ Federal

☐ Non-Federal

☐ Other

Is your grant administered through:

☐ Boston University - Medical Campus (ORA)

☐ Boston University - Charles River Campus (OSP)

☐ Boston Medical Center (ORA)

☐ Other (Specify)

Other:

Anticipated Starting Date*

PI CV Formatted in the [standard NIH 2 page Bio-Sketch format](#):

Pre-2010 applications only: Attach IBC Application (PDF format):

1.)

2.)

Please upload any supporting documentation:

1.)

□ [BUA Policy](#)

□ [Principal Investigator](#)

- Grant Funding Information

- Personnel
- Research Laboratory Facility Information
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- Personal Protective Equipment and Safety Equipment

□ [BUA Agreement Policy](#)

Personnel

- List each person on the permit individually, including the PI.
 - To add a person, you may select from your “Identify your Personnel” list, or type in a person’s BU email address and click the black “Validate” button to populate other information.
 - To add a second person, fill out all the required information for the first person, press “Save Changes” at the bottom of the form, and then press “Add Additional Personnel”.
 - Each person added to your permit will be shown at the top of the screen - to switch between them, click the + button by that person’s name.
- Input each person’s roles in the IBC application (Shipping, Receiving, etc), as well as training dates and experience with the procedures and Medical Surveillance information.

□ [BUA Policy](#)

□ [Principal Investigator](#)

- [Grant Funding Information](#)

- **Personnel**

- [Research Laboratory Information](#)

- [Dual Use Research of Concern](#)

- [Research Project Description](#)

- [Materials Used In Research](#)

- Hazardous Biological Agents

- Potentially Infectious Agents

- Human Embryonic Stem Cells

- Select Biological Toxins

- Field Study with Animal

- Vectors

- High Hazard Chemicals

- Radiation and X-ray

- Recombinant DNA

- Public Health Concerns

- [Personal Protective Equipment and Safety Equipment](#)

□ [BUA Agreement Policy](#)

Online Form - Personnel Page 1 of 2

Asterisks (*) indicate required fields Help is available by clicking on the highlighted field label

Instructions:
List the names of all personnel involved in the project including the Principal Investigator.

- If the person you would like to add has been entered into your PI profile, click the 'Select personnel from your PI list' button to add each individually, making sure you fill out all required information.
- To add additional personnel, first click on Save Changes to save the current person, followed by the Add Personnel button.

PI Name*Test, PI

PI BU ID*U55982740

PI BU Alias*bjgold

Select personnel from your PI list:

First*

Last*

BU ID*

BU Email (@bu.edu)*

Validate against the BU directory:

Roles*

Training Dates BUMC Training Records Self-Check

Lab Safety*

Receive/Ship

Training Experience and Dates

rDNA*

Infectious Agents*

Select Agents*

Other training (with Dates)

Is this person experienced with the procedures involved?

☐ Yes ☐ No

All laboratory staff must complete a [Medical Surveillance](#) Information. Contact the Director of Occupational Health for any research related medical needs at 617-353-6630 (Charles River Campus) or 617-638-8400 (Medical Campus). All staff who works with human materials including blood, tissue, cells and bodily fluids must be offered the Hepatitis B vaccine and antibody testing.

Has this individual completed and submitted their [Medical Surveillance](#) Information?

☐ Yes ☐ No

Will this individual be working with human materials?

☐ Yes ☐ No

Has the individual submitted and completed an [Authorization for Services for Hepatitis B Vaccine Series](#)?

☐ Yes ☐ No ☐ N/A

It is the PI's responsibility to ensure that anyone with potential exposure to blood borne pathogens in research studies or in laboratory

is offered the Hepatitis B Vaccination Series and antibody testing. There are services administered by Research Occupational Health Program in compliance with the Blood borne Pathogen Exposure Policy for Boston University/Boston University Medical Campus/Boston Medical Center. Anyone who declines the vaccine must still be offered the Hepatitis B Vaccine by Research Occupational Health Program. In addition to visible blood, Cerebral, Synovial, Pleural, Peritoneal, Pericardial and Amniotic fluids, unfixed human tissue, human dermal lines and human cell cultures are also considered potentially infectious.

****Note: Hepatitis B virus has been demonstrated to survive in dried blood at room temperature on environmental surfaces for at least 1 week****

Research Laboratory Facility Information

- On-site or off-site lab location
- List each research location on the permit individually.
 - To add a location, you may select from your “Identify your Locations” list, or select by choosing the campus, building, and room.
 - Next, fill in the BSL, ABSL, shared spaces, and recent inspection dates and results.
 - To add a second location, press “Save Changes” at the bottom of the form, and then press “Add Additional Location”.
 - Each location added to your permit will be shown at the top of the screen - to switch between them, click the + button by that location.

Online Form - Research Laboratory Facility Information Page 1 of 1

Asterisks (*) indicate required fields Help is available by clicking on the **highlighted** field label

Instructions:
List all laboratories and animal containment areas.
To add additional locations, first click on **Save Changes** to save the current location, followed by the **Add Additional Location**.

PI* Test, PI

PI BU ID* U55982740

PI Alias* bjgold

Research laboratory facility is:

☒ On-site ☐ Off-site

On-site Location:

Campus: BUMC

Building:

Floor:

Room:

OR

General Information:

Biological Safety Level

☐ BSL 1 ☐ BSL 2 ☐ BSL 2 w/ BSL 3 Practices
☐ BSL 3 ☐ BSL 4

Animal Biological Safety Level

☐ N/A ☐ ABSL 1 ☐ ABSL 2 ☐ ABSL 2 w/ ABSL 3 Practices
☐ ABSL 3 ☐ ABSL 4

Is the research facility shared with another Principal Investigator?

☐ Yes ☐ No

Most recent laboratory inspection date:

Were all the findings during the recent inspection corrected?

☐ Yes ☐ No

- [BUA Policy](#)
- [Principal Investigator](#)

- [Grant Funding Information](#)

- [Personnel](#)

- [Research Laboratory Facility Information](#)

- [Dual Use Research of Concern](#)

- [Research Project Description](#)

- [Materials Used In Research](#)

- Hazardous Biological Agent
- Potentially Infectious Material
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- Select Biological Toxins
- Field Study with Animals or Insect Vectors

Dual Use Research of Concern

- Questions asking if your research falls into any of the dual use research concern categories

Online Form - Dual Use Research of Concern Page 1 of 1

Asterisks (*) indicate required fields Help is available by clicking on the **highlighted** field label

The National Science Advisory Board for Biosecurity (NSABB) defined "dual use research of concern" as research that, based on current understanding, can be reasonably anticipated to provide knowledge, products, or technologies that could be directly misapplied by others to pose a threat to public health, agriculture, plants, animals, the environment, or material.

Please review the eight categories below and indicate if your research falls into any of the dual use research concern categories.

PI Name*	Test, PI
BU ID*	U55982740
BU Alias*	bjgold
Enhance the harmful consequences of a biological agent or toxin.*	<input type="radio"/> Yes <input type="radio"/> No
Disrupt immunity or effectiveness of an immunization without clinical and/or agricultural justification*	<input type="radio"/> Yes <input type="radio"/> No
Confer to a biological agent or toxin, resistance to clinically and/or agriculturally useful prophylactic or therapeutic interventions against agent or toxin.*	<input type="radio"/> Yes <input type="radio"/> No
Confer to a biological agent or toxin, resistance to clinically and/or agriculturally useful prophylactic or therapeutic interventions against that agent or toxin, or facilitate their ability to evade detection methodologies.*	<input type="radio"/> Yes <input type="radio"/> No
Increase the stability, transmissibility, or the ability to disseminate a biological agent or toxin*	<input type="radio"/> Yes <input type="radio"/> No
Alter the host range or tropism of a biological agent or toxin.*	<input type="radio"/> Yes <input type="radio"/> No
Enhance the susceptibility of a host population.*	<input type="radio"/> Yes <input type="radio"/> No
Generate a novel pathogenic agent or toxin, or reconstitute an eradicated or extinct biological agent.*	<input type="radio"/> Yes <input type="radio"/> No

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Research Project Description

- The IBC will spend the most time looking at your answers to these three (3) questions, including:
 - Brief Project description (less than 200 words)
 - Detailed description of all laboratory procedures and manipulations, including any potentially or actual hazards and steps to mitigate these hazards
 - NIH Layman's terms description of the project

Provide a [brief description](#) of the project in 200 words or less

Describe [laboratory procedures](#) and manipulations involved in the study. Provide sufficient detail for the reviewer to fully understand the potential health and environmental hazards associated with the project and any steps or procedures in place to limit the potential hazards

Describe the project in [Layman's Terms](#). NIH requirement of 3 to 4 sentences about the goal of the experiment. This should be written in non-technical language (6th grade reading level) and should address foreseeable concerns for non-scientific lay community member. Avoid or fully explain any jargon or abbreviations. This section will be available to the public as a synopsis of research. Be brief and concise and limit the number of words. Remember that many community members have reservations about the use of biohazards and rDNA in research.

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Personal Protective Equipment (PPE) and Safety Equipment

- If you are working with rDNA, the City of Boston requires that you fill out this registration form for rDNA projects.

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VII. PERSONAL PROTECTIVE EQUIPMENT AND SAFETY EQUIPMENT

1. Indicate all laboratory manipulations involved in the research protocol that have the potential to produce aerosols or droplets:

Laboratory Procedure	Performed
Homogenizing, tissue grinding	<input type="checkbox"/>
Vortexing	<input type="checkbox"/>
Vigorous mixing, blending	<input type="checkbox"/>
Freeze drying, lyophilizing	<input type="checkbox"/>
Sonicator, ultrasonic cleaners	<input type="checkbox"/>
Animal handling, cage changing	<input type="checkbox"/>
Pipetting infectious liquid	<input type="checkbox"/>
Centrifugation, ultra centrifugation	<input type="checkbox"/>
Opening containers under pressure	<input type="checkbox"/>
Culture stirrers, shakers	<input type="checkbox"/>
Plating, colony counting	<input type="checkbox"/>
Animal inoculations	<input type="checkbox"/>
Animal aerobiology exposure	<input type="checkbox"/>
Other (Specify):	

2. Indicate the engineering controls in place to prevent potential exposure from procedures described.

☐ Work that produce/or potentially produce aerosols are done in the Biological Safety Cabinet or other containment equipment.

☐ Use of centrifuges with sealed rotors or sealed cups.

☐ HEPA and hydrophobic filter protection on the vacuum line.

☐ Gasket blenders/ homogenizers.

☐ Others (describe):

3. Indicate the personal protective equipment to be used in the laboratory to prevent potential exposure from procedures described.

☐ Laboratory coats

☐ Disposable gloves

☐ Goggles

☐ Safety glasses

☐ Face shield

☐ Surgical mask

☐ Respirator (i.e. N95)

☐ Shoe cover

☐ Head cover

☐ Powered Air Purifying Respirator (PAPR)

☐ Disposable scrubs

☐ Double gloves

☐ Back fastening gowns

☐ Other (describe):

4. Indicate the personal protective equipment to be used in the animal containment to prevent potential exposure (if no animals are used, do not check)

☐ Laboratory coats

☐ Disposable gloves

☐ Goggles

5. Will Biological Safety Cabinets (BSCs) be used for this work? If YES, provide the following information:

☐ YES

☐ NO

Make:

Model:

Serial Number:

Recent Certification Date:

6. Will sharps be used in the studies?

☐ YES

☐ NO

If YES, describe the safety precautions to be followed:

7. Describe how you will treat and dispose the biological or biohazardous wastes (biohazard boxes, chemical disinfection, autoclaving, etc.):

8. What disinfectant will be used?

Materials Used in Research

- Check to indicate which activities or materials your research entails, and complete the appropriate forms

If your research involves the following materials or activities (check all that apply)...	Example/Description	Then...
Hazardous Biological Agent including Human Cells and Cell Line	Viruses, Bacteria, Fungi, Parasites, Rickettsia, Prion, Human Primary or Cell Lines, Non Human Primate Primary or Cell Lines	Complete a <i>Hazardous Biological Agent</i> form for each Agent
Other Potentially Infectious Materials	Other Human Material: Blood, Plasma, Serum, Unfixed Tissue, Organs, Unfixed Cells, Other; Other Non-Human Primate Material: Blood, Plasma, Serum, Unfixed Tissue, Organs, Unfixed Cells, Other; Sheep Material: Unfixed Tissue, Other	Complete a <i>Potentially Infectious Material</i> form for each Material
Human Embryonic Stem Cell	Human Embryonic Stem Cell	Complete a <i>Human Embryonic Stem Cell</i> form
Select Biological Toxins	Abrin, Botulinum neurotoxins, Conotoxin, Clostridium perfringens epsilon toxin, Diacetoxyscirpenol (DAS), Ricin, Staphylococcal enterotoxins, Saxitoxin, Shiga-like ribosome inactivating proteins, Shigatoxin, Tetrodotoxin, T-2 toxin	Complete a <i>Select Biological Toxins</i> form
Field Study with Animals or Insect Vector	Environmental or field studies with animals	Complete a <i>Field Study with Animals or Insect Vectors</i> form
High Hazard Chemical	Use of a high hazard chemical	Complete a <i>High Hazard Chemical</i> form for each Chemical
Radiation and X-Ray	Use of Radioactively-labeled compounds; Inject animals with radioactive-labeled compounds; X-ray or other imaging of specimens; Use of the irradiator	Complete a <i>Radiation and X-ray</i> form
Recombinant DNA	In the context of this application, recombinant DNA molecules are defined as molecules that are constructed outside living cells by joining natural or synthetic DNA segments to DNA molecules that can replicate in a living cell or those resulting from such replication. Synthetic DNA segments which are likely to yield a potentially harmful polynucleotide or polypeptide are considered as equivalent to their natural DNA counterpart. If the synthetic DNA segment is not expressed in vivo or is biologically active, polynucleotide or polypeptide product, it is exempt from the NIH Guidelines (NIH Guidelines for Research Work Involving Recombinant DNA Molecules).	Complete a <i>Recombinant DNA</i> form and Public Health Commission Form
Synthetically derived nucleic acid molecules	The work involves the creation of synthetically derived nucleic acid molecules	Complete relevant section on the <i>Recombinant DNA</i> form
What is the highest Biological Safety Containment Level (BSL) required for this project?	BSL-1, BSL-2, BSL-2 with special practices of BSL-3, BSL-3, BSL-4	

Hazardous Biological Agent

- List each agent on the permit individually.
 - To add an agent, we recommend you use the “Lookup” button. If you don’t find the agent in the lookup list, you may type in the required information.
 - To add a second agent, fill out all the required information for the first agent, press “Save Changes” at the bottom of the form, and then press “Add Additional Hazardous Agent”.
 - Each agent added to your permit will be shown at the top of the screen - to switch between them, click the + button by that agent.
- Answer the rest of the questions about the agent, including use, if the project uses animals or is a hospital-based project, and transport and storage information for the agent.

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Asterisks (*) indicate required fields Help is available by clicking on the highlighted field label

Instructions:
List all hazardous biological agents.
To add additional agents, first click on Save Changes to save the current agent, followed by the Add Agent button.

PI Name: Test, PI

PI BU ID: U55982740

To filter your lookup results by agent class select one before hitting lookup

Specific Name: *

Strain:

Agent Class: *

BSL: *

Is the microbiological agent classified a Select Agent by CDC/USDA? [List of all Select Agents](#)

* ☐ Yes ☐ No

Is the agent an attenuated strain of a BSL3 or BSL4 microbiological agent?

* ☐ Yes ☐ No

The IBC requires that the attenuated strain is verified prior to being used in the laboratory. Please provide the name and contact number of the laboratory that will verify your sample. You must also submit a copy of the verification result.

Lab Name

Lab Contact #

Verification Results

Will live animals be used in the experiments?

* ☐ Yes ☐ No

Is this a hospital-based project?

* ☐ Yes ☐ No

How and where are biohazardous materials stored?

* [Key points to address](#)

Describe how biohazardous materials are transported (indicate nature of primary and secondary containers)?

* [Key points to address](#)

Are all equipment used for biohazardous materials work affixed with biohazard warning labels?

* ☐ Yes ☐ No

Potentially Infectious Material

- Includes other human material, as well as non-human primate material and sheep material. This material is not identified in the previous Hazardous Biological Agent section.
- List the type(s) and source of material.

Online Form - Potentially Infectious Material Page 1 of 1

Asterisks (*) indicate required fields Help is available by clicking on the highlighted field label

Instructions:
List all potentially Infectious Materials.
To add additional potentially infectious material, first click on Save Changes to save the current infectious material, followed by the Add Potentially Infectious Material button.

PI Name* Test, PI

PI BU ID* U65982740

PI Alias* bjgold

Class* ☐ Other Human Material
☐ Non-Human Primate Material
☐ Sheep Material

Type of Material* ☐ Blood
☐ Plasma
☐ Serum
☐ Unfixed Tissue
☐ Organs
☐ Cells
☐ Other

Other Type

Source of Material*

Highest BSL Level necessary for this project: ☐ BSL 1
☐ BSL 2
☐ BSL 2 w/ BSL 3 Practices
☐ BSL 3
☐ BSL 4

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Human Embryonic Stem Cells

- List any human embryonic stem cells you will be using in this protocol – cell lines must be approved and listed on the NIH Registry

Human Embryonic .. (1)

Asterisks (*) indicate required fields

Name of Cell Line(s)*Test, PI +
 PI BU ID*U55982740 +
 PI Alias*bjgold +

Is the HESC an approved cell line listed in the [NIH Human Embryonic Stem Cell Registry](#)?

☐ Yes ☒ No

Name of Cell Line(s)*test

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Select Biological Toxins

- List any select agent biological toxins you will be using in your research, as well as the total amount to be used and/or possessed in the lab.

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Asterisks (*) indicate required fields Help is available by clicking on the highlighted field label

PI Name* Test, PI
 PI BU ID* U55982740
 PI Alias* bjgold

A laboratory that possess a Select Agent Biological Toxin that is less than the maximum excluded amount set by CDC and USDA is exempt from the requirement of the Select Agent regulation. The list of Biological Toxin maximum excluded amount is available at: <http://www.cdc.gov/od/sap/sap/toxinamt.htm>

If the study involves the use of biological toxins listed by CDC/USDA as Select Agents, provide the total amount to be possessed in the lab for the Biological Toxin/s you will use or possess

Abrin:	<input type="text"/>
Botulinum neurotoxins:	<input type="text"/>
Clostridium perfringens epsilon toxin:	<input type="text"/>
Conotoxin:	<input type="text"/>
Diacetoxyscirpenol (DAS):	<input type="text"/>
Ricin:	<input type="text"/>
Staphylococcal enterotoxins:	<input type="text"/>
Saxitoxin:	<input type="text"/>
Shiga-like ribosome inactivating proteins:	<input type="text"/>
Shigatoxin:	<input type="text"/>
Tetrodotoxin:	<input type="text"/>
T-2 toxin:	<input type="text"/>

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Field Study with Animals or Insect Vectors

- Describe any environmental or field studies with animals and supply IACUC information, quarantine and vaccine information

Online Form - Field Study with Animals or Insect Vectors Page 1 of 1

Asterisks (*) indicate required fields Help is available by clicking on the **highlighted** field label

PI Name* Test, PI
 PI BU ID* U55982740
 PI Alias* bigold

Check all that apply

☐ Capture, study and release animals back to the environment or field.
☐ Capture and bring back live animals to BU.
☐ Capture and bring back animal carcass or tissue to BU

Please provide the IACUC approval number for the study, Species, and ABSL.

Do animals need to be quarantined prior to your use in the study?
☐ Yes ☐ No

If YES, provide the animal containment:
 Building Room

Is there vaccination recommendation for the activity and type of animals involved in this project?
☐ Yes ☐ No

For Rabies, provide annual titer check

Will you bring a first aid kit with you in the field
☐ Yes ☐ No

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High Hazard Chemical

- List each high hazard chemical on the permit individually.
 - To add a chemical, we recommend you use the “Lookup” button. If you don’t find the chemical in the lookup list, you may type in the required information.
 - To add a second chemical, fill out all the required information for the first chemical, press “Save Changes” at the bottom of the form, and then press “Add Additional High Hazard Chemical”.
 - Each chemical added to your permit will be shown at the top of the screen - to switch between them, click the + button by that chemical.
- Answer the rest of the questions about the chemical, including use, storage, and transport.

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Instructions:
List all high hazard chemicals.
To add additional chemicals, first click on Save Changes to save the current chemical, followed by the Add High Hazard Chemical button.

PI Name* Test, PI

PI BU ID* U55982740

PI BU Alias* bjgold

Chemical*

CAS Number*

Describe how this chemical will be used in the experiments: *

How are high hazard chemicals stored?*

How are they transported (indicate primary and secondary containers)?*

Radiation and X-ray

Radiation and X-ray (1)

Asterisks (*) indicate required fields

PI Name* Test, PI

PI BU ID* U55982740

PI Alias* bjgold

Will the study involve the use of Radioactively-labeled compounds?

* ☐ Yes ☒ No

Will you inject animals with Radioactively-labeled compounds?

* ☐ Yes ☒ No

Will you perform X-ray or other imaging of specimens?

* ☐ Yes ☒ No

Will you use the Irradiator?

* ☐ Yes ☒ No

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Recombinant DNA (rDNA)

- List all information about your rDNA project, including:
 - Host-vector-donor system if a rDNA gene will be expressed,
 - Copies of all approvals for human gene therapy clinical projects
 - The use or creation of synthetic nucleic acids
 - Defective or replication competent viral vectors
 - Animal use
- Include the relevant section of the NIH Guideline for Research Work involving Recombinant DNA
- Fill out the Public Health Commission form (see next slide)

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Help is available by clicking on the highlighted field label

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PI BU ID* U55982740

PI Alias* bigold

In the context of this application, recombinant DNA molecules are defined as molecules that are constructed outside living cells by joining DNA molecules that can replicate in a living cell or those resulting from such replication. Synthetic DNA segments which are likely to yield polypeptide are considered as equivalent to their natural DNA counterpart. If the synthetic DNA segment is not expressed in vivo or is polypeptide product, it is exempt from the NIH Guidelines (NIH Guidelines for Research Work Involving Recombinant DNA Molecules)

*If using rDNA, please complete the Public Health Commission Environmental Health Office Registration Form for Recombinant DNA. This form is required by the Boston Public Health Commission and is a stand-alone document.

Will rDNA gene be expressed?

☐ Yes ☐ No

Will the experiments involve rDNA molecules capable of expressing a pathogenic polynucleotide or polypeptide?

☐ Yes ☐ No

Will the experiments involve the expression of rDNA encoding toxins with LD50 <100 ng/kg body weight?

☐ Yes ☐ No

Will the experiment involve the deliberate transfer of a drug resistance trait to microorganisms that are not known to acquire the trait in nature?

☐ Yes ☐ No

Does the work involve the use or creation of double stranded synthetic nucleic acid that is 200 bps in length or greater?

☐ Yes ☐ No

Is the viral vector defective?

☐ Yes ☐ No ☐ N/A

Is the viral vector replication competent?

☐ Yes ☐ No ☐ N/A

Will transgenic or knockout animals be used in the experiments?

☐ Yes ☐ No

Will the experiment involve more than 10 liters of culture (large scale)?

☐ Yes ☐ No

Specify the relevant section of the NIH Guideline for Research Work Involving Recombinant DNA

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Public Health Commission

- If you are working with rDNA, the City of Boston requires that you fill out this registration form for rDNA projects.

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Asterisks (*) indicate required fields Help is available by clicking on the highlighted field label

Public Health Commission
Environmental Health Office

Registration Form For rDNA Projects

Principal Investigator:
Test, PI ☒

Project Title:
testing

Anticipated Starting Date:
07/01/2010

Brief Description of Project:

Institution Name:
Boston University - Medical Campus (ORA)
Other:

Lab Facility Address(es):

Building(s):

Room(s):

Are Large Scale Volumes Used (>= 10 liters)?
Yes ☐ No ☐

Is an rDNA gene product efficiently expressed?
☐ Yes ☐ No

Containment levels:
Highest BSL:
BSL-1
NIH Guideline:
testing ☒

at in emergency situations requiring immediate remedial action:

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- If you are working with rDNA, the City of Boston requires that you fill out this registration form for rDNA projects.

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Asterisks (*) indicate required fields

Help is available by clicking on the **highlighted** field label

PI Name Test, PI

PI BU ID U55982740

PI BU Aliasbjgold

Is the following IBC application complete in its entirety?

☒ Yes

☐ No

As the Principal Investigator of this project, I certify that the information contained in this application is accurate and complete. I agree to comply with any requirements posed by the Institutional Biosafety Committee (IBC) and pertinent regulatory requirements.

I agree to abide by the following requirements (Check all):

☐ I will not initiate experimentation until this research project has been approve by the IBC.

☐ I will follow appropriate Biosafety Level laboratory techniques required for this project.

☐ I will comply with all shipping requirements for materials, as appropriate.

☐ I will provide to the laboratory staff copies of the approved protocols which describes the potential biohazards and the precautions that must be taken.

☐ I will train the staff in good microbiological practices and techniques required to ensure safety for this project, and in the procedures for dealing with accidents and waste management.

☐ I will ensure that all laboratory workers are registered with the IBC.

☐ I will supervise the staff and correct work errors and conditions that could result in breaches of the Biosafety Manual, Exposure Control Plan, Chemical Hygiene Plan and other plans as appropriate.

☐ I will submit an amendment for any changes/ additional work to be performed that go beyond the range of the current protocol (before work begins).

☐ I will obtain required additional approvals if my work involves animals from institutional Animal Care & Use Committee (IACUC) or for the use of primary human tissues or cells from the Institutional Review Board (IRB)

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