

Effective Date: June 1, 2017 Revised: February 4, 2021

POLICY

EMPLOYMENT, ETHICS, FINANCE AND ADMINISTRATION, RESEARCH AND SCHOLARLY ACTIVITIES

Solicitation and Acceptance of Personal Gifts and Relationships with Suppliers Policy

RESPONSIBLE OFFICE

Procure-to-Pay

Boston University is committed to the highest standards of honesty, integrity, and fairness in both actuality and appearance. Consistent with this commitment, University employees and members of their immediate families are prohibited from soliciting any personal gift, gratuity, favor, service, or other benefit (collectively, a "gift") from individuals or companies seeking any advantageous action by, or relationship with, the University.

The acceptance of a personal gift, even if unsolicited, is also prohibited in most instances. A gift valued in excess of \$50 or of undetermined value, unless employed for a University purpose or shared widely within the University or a unit thereof, must be declined or returned immediately. Multiple gifts from a single source in any calendar year are prohibited. Gifts of promotional items without significant value that are routinely distributed by suppliers to clients, and courtesy copies of professional printed matter, may be accepted. However, employees are prohibited from accepting gifts of money or their equivalent, regardless of the amount, at

any time.

Employees are prohibited from accepting a supplier's offer to pay for travel to and/or attendance at a conference, business meeting, or similar function without the <u>prior written</u> <u>approval</u> of the president, provost, or executive vice president.

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Customary business dealings involving payment for a meal or local entertainment are acceptable, provided they are not excessive in frequency or amount and do not otherwise create the appearance of impropriety.

Employees involved in awarding or administering grants or contracts using federal or other government funds are prohibited by law from soliciting or receiving gratuities, favors, or anything of monetary value from current or potential contractors or sub-contractors.

Supervisors are responsible for ensuring this policy is communicated to and understood by the employees in their unit and that it is effectively enforced. Any employee who receives an unsolicited gift from an individual or company seeking any advantageous action by, or relationship with, the University should immediately submit a written report to his or her supervisor specifying the date the gift was received, the donor, the estimated value, and other relevant information.

| Any employee who violates this pol | icy will be subject to disci | plinary action. |
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| | END OF POLICY TEXT | |

Additional Resources Regarding This Policy

Related Policies and Procedures

- Finance and Administration Policies Manual
 - 6.1.2 Taxability of Gifts, Prizes, and Awards to Employees, Non-employees, and Students
- Code of Ethical Conduct
- President's Statement of Commitment to Ethical Conduct
- Solicitation and Acceptance of Personal Gifts and Relationships with Suppliers Policy
- Employee Handbook Section 402 Conflict of Interest Policy
- Faculty Handbook section on Ethics and Activities, including:
 - Gift Policy
 - Conflict of Commitment Policy
- Supplier Code of Ethical Conduct
- Industry Relations Policy (BU School of Medicine only)
- Research Conflict of Interest Policies
 - Investigator Financial Conflicts of Interest Policy for Research
 - Institutional Conflicts of Interest in Research Policy
 - Researcher Financial Conflicts of Interest (CRC IRB) Policy
 - IRB Member and Consultant Conflict of Interest Policy

Forms

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BU Websites and Information

- BU's Conflicts of Interest Processes
- Where to Report a Concern
 - BU's Ethics and Compliance Hotline (Anonymous Reporting Hotline hosted by EthicsPoint)
 - Compliance Services Office
 - Office of the Ombuds
- Compliance Services Office

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