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Dynamic interactions between oscillating cantilevers: Nanomechanical modulation using surface forces

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Dynamic interactions between two oscillating micromechanical cantilevers are studied. In the experiment, the tip of a high-frequency cantilever is positioned near the surface of a second low-frequency cantilever. Due to the highly nonlinear interaction forces between the two surfaces, thermal oscillations of the low-frequency cantilever modulate the driven oscillations of the high-frequency cantilever. The dissipations and the frequencies of the two cantilevers are shown to be coupled, and a simple model for the interactions is presented. The interactions studied here may be useful for the design of future micro and nanoelectromechanical systems for mechanical signal processing; they may also help realize coupled mechanical modes for experiments in non-linear dynamics. © 2013 AIP Publishing LLC. [http://dx.doi.org/10.1063/1.4819180]

Miniaturized mechanical devices,1 called micro and nanoelectromechanical systems (MEMS and NEMS), are steadily progressing toward attaining the speed and efficiency of their electronic counterparts. A mechanical signal processor2 may soon become a reality if the primary operations during the processing can be performed mechanically—i.e., using the mechanical motion of MEMS and NEMS. There is thus a focused research effort to realize micro- and nano-mechanical switching,3 mixing,4–6 amplification,7 and modulation.8,9

Here, we study dynamic interactions between two oscillating micromechanical cantilevers and harvest these interactions for mechanical signal modulation and detection. In the experiment, the carrier signal from a high-frequency microcantilever oscillator is modulated by the low-frequency thermal oscillations of a second microcantilever by simply bringing the two microcantilevers close together. The approach relies upon the strong inherent nonlinearity of the interaction force between two surfaces in close proximity and offers several advantages. The modulation is purely mechanical, and mechanical signals need not be converted to electrical signals. The strength of the coupling between the two mechanical signals, and hence the modulation index, can be adjusted by changing the distance between the two microcantilevers. Conservative and dissipative components of the interaction enable tuning of the frequencies and dissipation. Conversely, monitoring the modulation on the carrier signal allows for sensitive mechanical displacement detection. Because the approach offers prospects for creating coupled mechanical modes10 with tunable coupling, it may be useful in fundamental investigations in nonlinear dynamics.

Our approach is derived from dynamic mode atomic force microscopy (AFM). Related to our work here, various AFM modalities have been used to detect the motion of micro- and nano-mechanical resonators. In these experiments, a resonant mode of the small device under study is excited, e.g., electrostatically or by using a piezoelectric shaker. An AFM cantilever is brought in close proximity of the resonator to probe its oscillations. Several groups have used contact interactions,11–13 tapping mode AFM has also been employed for less intrusive probing.14–16 In addition, other AFM-based approaches, including acoustic force microscopy17 and electrostatic scanning probe microscopy,18 have also been applied to the measurement small oscillations. In this work, we extend the above-mentioned efforts to non-contact mode AFM and show that even non-contact AFM can perturb small resonators significantly.

At the core of our experiment are two micro-cantilevers, which primarily interact through non-contact forces, as shown in Fig. 1(a). The cantilevers are of different sizes and oscillate at their fundamental flexural resonance frequencies. The smaller high-frequency one (hereafter labeled with the subscript “h”) has an unperturbed fundamental flexural resonance at \( f_{0h} = \frac{22}{7} \approx 153.8 \text{ kHz} \). The larger low-frequency cantilever (hereafter labeled with the subscript “l”) comes in vacuo with unperturbed \( f_{0l} = \frac{22}{7} \approx 10.1 \text{ kHz} \). The in vacuo parameters for both cantilevers are listed in Table I. The cantilevers remain inside an ultrahigh vacuum (UHV) chamber at a pressure \( p \ll 10^{-10} \text{ Torr} \) during the experiments so that gas damping is not relevant.19 The low-frequency (bottom) cantilever is fixed onto a sample holder and is excited by thermal fluctuations at room temperature. The high-frequency (top) cantilever sits on a nano-positioner and is driven by a piezo-shaker (PZS) at its base. The response of the high-frequency cantilever is measured using a standard optical beam-deflection method.20 The output of the optical transducer is split three ways between a spectrum analyzer, a self-oscillating loop, and a detection-feedback loop, as shown in Fig. 1(a). The self-oscillating loop, shown by the dashed box in Fig. 1(a), maintains the high-frequency cantilever oscillating at resonance at a constant amplitude. The detection-feedback loop has a large time constant (0.01 s \( \leq \tau \leq 1 \text{ s} \)) as compared to other time scales in the experiment. It thus keeps the average gap between the cantilevers at a prescribed value and compensates for drifts.

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FIG. 1. (a) Schematic of the experimental setup. The optical transducer (PD: photodetector), amplifiers (A), phase shifter (Φ), and amplitude controller are the components of a positive feedback circuit (dashed box), which drives the high-frequency cantilever at resonance at a prescribed amplitude via a PZS. The demodulator and PID controller form a detection circuit, which keeps the frequency shift (and hence the average gap between cantilevers) at a desired value. The inset shows the lumped mass models for the two cantilevers. (b) Spectral density of the high-frequency cantilever oscillations. Two arrows at the upper and lower sidebands of the carrier at 153.8 kHz correspond to the thermal oscillations of the low-frequency cantilever. The inset shows the upper sideband in displacement units.

In the experiments, the high-frequency cantilever oscillates coherently at a constant rms oscillation amplitude of 10 nm; the rms thermal oscillation amplitude for the low-frequency cantilever remains around $\sqrt{\frac{k_B T}{k_i}} \approx 0.1$ nm, where $k_B T$ is the thermal energy and $k_i$ is the (unperturbed) spring constant. The tip of the high-frequency cantilever is brought towards the free end of the low-frequency cantilever using the nano-positioner, and the spectrum of the oscillatory signal on the photodiode is measured. Fig. 1(b) shows a typical spectral density measurement. The dominant peak shown at $f_h \approx 153$ kHz corresponds to the self-oscillations of the high-frequency cantilever. This can be regarded as the high-frequency carrier signal. At $f_h \pm f_f \approx 153 \pm 8$ kHz, two small peaks are noticeable. These upper and lower sideband modulation peaks result from the thermal oscillations of the low-frequency cantilever. The inset shows a close-up of the upper sideband peak in linear scale. This is the spectral density of the thermal oscillations of the low-frequency cantilever as detected by the high-frequency cantilever. Under these experimental conditions, integrating this spectral density and using the thermal oscillation amplitude of $\sim 0.1$ nm, we calculate the noise floor for displacement detection to be $\sim 1 \times 10^{-11}$ m/Hz$^{1/2}$.

Advancing the nano-positioner leads to changes in the actual gap between the two cantilevers, resulting in changes in the measured response. Returning to the inset of Fig. 1(a), we note that the time-dependent positions of the two cantilevers are $z_h(t)$ and $z_l(t)$ with respect to a fixed reference point. The interaction force $F$, which has an attractive van der Waals component (see below for details), results in changes in the average positions, $\bar{z}_1$ and $\bar{z}_h$. In our coordinate system, the average gap is $\bar{z}_h - \bar{z}_l$. Because the low-frequency cantilever is two orders of magnitude softer than the high-frequency cantilever, we estimate that the average van der Waals attraction mostly bends the low-frequency cantilever toward the high-frequency cantilever (upwards in Fig. 1(a)) as the nano-positioner is advanced to decrease the gap between the cantilevers (i.e., to reduce $\bar{z}_h$). The average position of the high-frequency cantilever, $\bar{z}_h$, can be taken to be the same as that of the nano-positioner (up to an additive constant).

When far away from each other, the cantilevers do not interact and oscillate at their respective unperturbed resonance frequencies, $f_{0h}$ and $f_{0l}$. As they come closer, the coupling grows and the thermal oscillations of the low-frequency cantilever become observable in the sidebands of the carrier. As the separation is reduced, the linewidths and the frequencies of both cantilevers change. The motion of the high-frequency cantilever (carrier) remains mostly sinusoidal with relatively little perturbation to its resonance frequency and linewidth, since the modulating signal in the sideband is orders of magnitude smaller than the carrier. The low-frequency cantilever, on the other hand, suffers large changes in frequency and linewidth. Fig. 2(a) shows the sideband peaks corresponding to the low-frequency cantilever oscillations. The zero in the frequency axis here corresponds to the resonance frequency $f_0$, which decreases as the nano-positioner advances to bring the two cantilevers together. The modulation increases because the mechanical coupling increases. In addition, the dissipation (linewidth) increases.

Figures 2(b) and 2(c) show results from systematic experiments as the nano-positioner brings the two cantilevers together, i.e., the gap between the cantilevers is changed. Returning to Fig. 1(a), we describe how the experiment is performed. A frequency shift for the high-frequency cantilever is prescribed; the nano-positioner (in conjunction with the detection circuit) brings the two cantilevers together until this frequency set point is attained. The proportional-integral-derivative (PID) controller keeps this frequency shift (set point) fixed, thereby ensuring a constant average gap. At this set point, the line-shape for the low-frequency cantilever is

<table>
<thead>
<tr>
<th>$l \times w \times t$</th>
<th>$f_0 = \frac{f_{0h}}{2\pi}$</th>
<th>$Q_0$</th>
<th>$k$</th>
<th>$m$</th>
</tr>
</thead>
<tbody>
<tr>
<td>$\mu m^3$</td>
<td>kHz</td>
<td>N/m</td>
<td>kg</td>
<td></td>
</tr>
<tr>
<td>225 $\times$ 37.5 $\times$ 7</td>
<td>153.8</td>
<td>$2 \times 10^4$</td>
<td>30</td>
<td>$3 \times 10^{-11}$</td>
</tr>
<tr>
<td>450 $\times$ 50 $\times$ 2</td>
<td>10.1</td>
<td>$6 \times 10^3$</td>
<td>0.2</td>
<td>$5 \times 10^{-11}$</td>
</tr>
</tbody>
</table>

TABLE I. Unperturbed parameters for the two Silicon microcantilevers used in our experiments. The stiffness $k$ values are provided by the manufacturer. The effective mass $m$ is calculated using $k$ and $f_0$. Both $k$ and $m$ are approximate.
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FIG. 2. (a) Normalized sideband signals. The signals are normalized using the highest measured signal values. The data traces are taken at the positions shown with the arrows in (b). Because the resonance frequency $f_1$ shifts significantly, the frequency axis is displayed as measured from the resonance frequency $f_0$. (b) The observed shift in the resonance frequencies of both cantilevers. (c) The change in the dimensionless dissipation of both cantilevers. The dissipation increases dramatically in the shaded region, suggesting that soft contact interactions start to become dominant. Error bars in all the data are smaller than the symbol sizes unless shown explicitly. The snap to contact with accompanying instabilities in the high-frequency signal determines the position of zero in the x-axes of the plots in (b) and (c).

recorded. At very small separations, the two cantilevers snap to hard contact, causing the carrier signal to become unstable.

In Fig. 2(b) (main), the frequency $f_1$ of the low-frequency cantilever is plotted as a function of the nano-positioner displacement. The inset of Fig. 2(b) similarly shows $f_0$ of the high-frequency cantilever as a function of the nano-positioner displacement. The zeros of the x-axes are taken to be the contact position, where the high-frequency cantilever can no longer oscillate stably. There is some degree of uncertainty in the position of this zero. The estimated region of soft contact between the two cantilevers is shown by the shading around zero in the main figure. This estimation is simply based on the observation that the dissipation of both cantilevers increases more steeply for displacements $\leq 4$ nm. The data traces in Fig. 2(b) (main and inset) showing negative frequency shifts for both cantilevers appear qualitatively similar to the frequency shift vs. tip-sample distance curves taken in non-contact AFM work, where attractive forces are dominant. However, there is a significant difference. Because the low-frequency cantilever is soft, the nominal displacement obtained from the nano-positioner cannot be related to the tip-sample gap in a straightforward manner. The interaction range in Fig. 2(b) extends over 10 nm. Due to the attractive force between the two cantilevers, the soft cantilever follows the stiffer high-frequency cantilever, as the two are brought together. While both resonance frequencies $f_1$ and $f_0$ shift in a qualitatively similar fashion, the magnitudes of the changes in $f_1$ and $f_0$ are quite different. We confirm that the data possess the same features at larger oscillation amplitudes $\approx 40$ nm (not shown) of the high-frequency cantilever. In all the measurements reported here, non-contact or (intermittent) soft contact interactions dominate, and the average force between the cantilevers remains attractive.

Figure 2(c) shows the change in the dimensionless dissipation of each cantilever as a function of the nano-positioner displacement. Here, the change is obtained by subtracting the intrinsic value of the dimensionless dissipation, $Q_0^{-1}$, from the measured value $Q^{-1}$ for each cantilever. For the low-frequency cantilever, all the data points are obtained by fitting Lorentzians to resonance line-shapes, such as those shown in Fig. 2(a). At large separations between cantilevers, the data appears noisier. This is because the signal size becomes smaller, and the fits are not as accurate. For the high-frequency cantilever, the data are extracted from the drive force (voltage) applied to the piezo-shaker, given that the stiffness of the high-frequency cantilever does not change appreciably and the amplitude controller keeps the oscillation amplitude constant. The general trend is that dissipation increases as the separation decreases. The observed dissipation increase in the low-frequency cantilever is much more dominant.

We now describe the coupled resonator dynamics. The dynamic variables used in the equations below can be identified in Fig. 1(a). Before analyzing the interacting cantilevers, we formulate the dynamics of individual cantilevers (far apart from each other). The one-dimensional lumped equation of motion for the high-frequency cantilever can be written as $m_h \ddot{z}_h + m_h \omega_{h0}^2 \dot{z}_h + m_h \omega_{h0}^2 (z_h - \bar{z}_h) = F_d(t)$, where the drive force is $F_d(t) = R(z_h(t - t_0) - \bar{z}_h)$, with $R$ being the gain, $t_0$ being the delay of the (self-oscillating) loop, and $m_h$ being the effective mass of the cantilever. We use the simplifying assumption that the cantilever always vibrates sinusoidally at resonance at a constant amplitude, and the role of the external sustaining circuit is to simply compensate for the energy losses. Thus, we write $z_h(t) \approx \bar{z}_h + A_h \sin \omega_{h0} t$, where $A_h$ remains constant and $\omega_{h0}$ does not change appreciably, consistently with experimental observations [Fig. 2(b) inset]. The low-frequency cantilever is driven by random thermal noise but oscillates mostly sinusoidally because of its high quality factor ($10^2 \leq Q_0 \leq 10^4$). Modeling its displacement as narrowband noise, we write $z_l(t) \approx z_l(t) + A_l(t) \sin \omega_{l0} t + \psi_l(t)$, where $A_l(t)$ and $\psi_l(t)$ are slowly varying envelope and phase functions. Hence, both cantilevers can be treated as simple one-dimensional oscillators when no perturbations are present: $m_z \ddot{z}_l + m_z \omega_{z0}^2 (z_l - z_i) \approx 0$, where $i = l, h$. Since $\omega_{l0} \gg \omega_{h0}$, each will tend to respond strongly to the perturbation near its own resonance frequency. For our system, when the gap between the cantilevers is large, the generalized non-contact interaction force.
can be expressed in terms of the coordinates and their time derivatives \(F = F(z_h, z_l, \dot{z}_l, \ddot{z}_l)\). This force can further be broken down into conservative and dissipative components as \(F = F_{\text{cons}} + F_{\text{diss}}\).

The dissipative forces \(F_{\text{diss}}\) on the high-frequency and low-frequency cantilevers can be approximated as \(-\gamma (\dot{z}_h - \dot{z}_l)\) and \(-\gamma (\ddot{z}_l - \ddot{z}_h)\), respectively, based upon phenomenological arguments. Here, \(\gamma\) is a function of gap \(\gamma(z_h, z_l) = \gamma_0 e^{-C(z_h - z_l)}\), where \(\gamma_0\) and \(C\) are empirical constants. The exponentially decaying form ensures that \(F_{\text{diss}}\) becomes weaker with increasing separation. Interacting only via the dissipative force \(F_{\text{diss}}\), the two cantilevers can be described by the following coupled equations:

\[
\begin{align}
\dot{z}_l + \omega_0^2(z_l - \ddot{z}_l) & \approx -\gamma (\dot{z}_l - \dot{z}_h), \quad (1a) \\
\dot{z}_h + \omega_0^2(z_h - \ddot{z}_h) & \approx -\gamma (\dot{z}_h - \dot{z}_l). \quad (1b)
\end{align}
\]

To make further progress, we approximate the function \(\gamma(z_h, z_l)\) with \(\tilde{\gamma} \approx \gamma_0 e^{-C(z_h - z_l)}\). Because of the discrepancy in the two oscillatory time scales, the low frequency cantilever notices only the average position of the high-frequency cantilever, \(z_h = \bar{z}_h\). It may thus be justifiable to set \(\ddot{z}_h \approx 0\) in Eq. (1a). This results in \(\dot{z}_l + \tilde{\gamma} \dot{z}_l + m_l \omega_0^2(z_l - \ddot{z}_l) \approx 0\). Similarly, the dissipative force acting on the high-frequency cantilever is approximately \(-\tilde{\gamma} \dot{z}_h\) because \(A_h \omega_0 \gg A_l \omega_0\). Thus, we arrive at the approximation \(m_h \ddot{z}_h + \tilde{\gamma} \dot{z}_l + m_h \omega_0^2(z_h - \ddot{z}_h) \approx 0\). It can be seen that \(\tilde{\gamma}\) terms give rise to the energy dissipation in both cantilevers. Hence, one should be able to relate the measured dissipation changes in the coupled cantilever system. In other words, \(m_h \omega_0^2(Q^{-1}_h - Q^{-1}_l) \approx m_l \omega_0^2(Q^{-1}_h - Q^{-1}_l)\) at a given gap. At the largest gap values, where the perturbation is weak and the approximations should hold better, we find the right hand side and the left hand side to be of the same order of magnitude (r.h.s. \(\approx 2 \times 10^{-10}\) kg/s and l.h.s. \(\approx 6 \times 10^{-10}\) kg/s) using the numbers from Table I and data from Fig. 2(c). Given that the values in Table I are approximate, this is quite satisfactory and suggests that our approximations are not unreasonable.

Returning now to the conservative component of the interaction force, we take \(F_{\text{cons}} = -\frac{HR}{(z_h - z_l)^2}\), as suggested by numerous AFM experiments. Here, \(H\) is the Hamaker constant and \(R\) is the tip radius (of the high-frequency cantilever). We emphasize that this simple form is valid when the gap is large (non-contact regime), and the attractive van der Waals force dominates. Because the thermal oscillation amplitude (of the low-frequency cantilever) remains extremely small, we expand the force around \(\bar{z}_l\), obtaining

\[
\tilde{F}_{\text{cons}} \approx -\frac{HR}{(z_h - \bar{z}_l)^2} - \frac{2HR}{m_h(z_h - \bar{z}_l)}(z_l - \bar{z}_l). \quad (2)
\]

Note that the sign of \(\tilde{F}_{\text{cons}}\) must be adjusted such that it remains attractive for both cantilevers. As above, we set \(z_h = \bar{z}_h\) in \(F_{\text{cons}}\) in the equation of motion of the low-frequency cantilever, \(m_l \ddot{z}_l + m_l \omega_0^2(z_l - \ddot{z}_l) \approx |\tilde{F}_{\text{cons}}|\). This yields

\[
\omega_0^2 \approx \omega_0^2 - \frac{2HR}{m_l(z_h - \bar{z}_l)}\]  

Finally, the source of the modulation can be identified as the \(-\frac{2HR(z_h - z_l)}{(z_h - \bar{z}_l)^2}\) term in the drive force in \(m_h \dot{z}_h + m_l \omega_0^2(z_l - \ddot{z}_l) \approx -|\tilde{F}_{\text{cons}}|\). This term can be expanded, with the leading order term in \((z_h - \bar{z}_l)^{-2}\). Including this term in the equation of motion, we derive

\[
\omega_0^2 \approx \omega_0^2 - \frac{6HR}{m_l(z_h - \bar{z}_l)} A_l \sin(\omega_l t + \psi_l). \quad (4)
\]

This is the source of the frequency modulation. The modulation index can be found as \(M = \frac{3HR}{m_h \omega_0 \omega_l (z_h - \bar{z}_l)}\), with the ratio of the power in the carrier to that in a (single) sideband being \(\frac{M^2}{\omega_0^2}\). For the measurement shown in Fig. 1(b), \(M^2 \approx 1.8 \times 10^{-3}\). Using \(H \approx 10^{-19}\) J, \(R \approx 50\) nm and experimental values for the remaining parameters, we find \(z_h - \bar{z}_l \approx 1\) nm.

More experimental and theoretical work is needed for a better understanding of this interesting coupled system. From an experimental perspective, a direct measurement of the gap between the cantilevers may be important. In the model, we assume that the amplitude \(A_l\) stays constant and the cantilevers oscillate sinusoidally. To fully account for the nonlinear interaction, a better model must allow for the amplitudes to be affected, with some degree of amplitude modulation being possible. Furthermore, the presented model is expected to become inaccurate as the perturbation grows (i.e., the gap becomes smaller), and the dynamics becomes complicated due to stronger non-linearities, hysteresis, and larger fluctuations. One can incorporate contact effects by using Derjaguin-Müller-Toporov interaction. Regardless, the data and the simple model presented here may be useful for designing MEMS and NEMS devices for future applications. Given that the interaction between the two cantilevers can be tuned efficiently by reducing the gap between them, one can also study non-linear dynamics of coupled oscillators.

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