

Question 3: Period of Performance

Review the following documents:

- Budget narrative & proposal budget (page 4-5)
- Grant Document for Expenditures (page 7-8)
- Subinvoice Issue #1 (page 15)

Q3: What issues, if any, do you see for **period of performance?**

Hint: Check Salary and Subawards

SUMMARY PROPOSAL BUDGET

YEAR 1

ORGANIZATION Trustees of Boston University				FOR NSF USE ONLY			
				PROPOSAL NO.	DURATION (months)		
PRINCIPAL INVESTIGATOR / PROJECT DIRECTOR Grant Resultados				AWARD NO.	Proposed	Granted	
A. SENIOR PERSONNEL: PI/PP, Co-PI's, Faculty and Other Senior Associates (List each separately with title, A.7. show number in brackets)				NSF Funded Person-months		Funds Requested By proposer	Funds granted by NSF (if different)
				CAL	ACAD	SUMR	
1. PI: G. Resultados 2 mos					20,000		
2. Co-PI: B. Graphitis 2 mos					15,000		
3. Sr. Researcher: T. Tubes 5 mos					10,000		
4.							
5.							
6. (0) OTHERS (LIST INDIVIDUALLY ON BUDGET JUSTIFICATION PAGE)							0
7. (3) TOTAL SENIOR PERSONNEL (1 - 6)							45,000
B. OTHER PERSONNEL (SHOW NUMBERS IN BRACKETS)							
1. (0) POST DOCTORAL SCHOLARS				0.00	0.00	0.00	0
2. (0) OTHER PROFESSIONALS (TECHNICIAN, PROGRAMMER, ETC.)				0.00	0.00	0.00	0
3. (0) GRADUATE STUDENTS							0
4. (0) UNDERGRADUATE STUDENTS							0
5. (0) SECRETARIAL - CLERICAL (IF CHARGED DIRECTLY)							0
6. (0) OTHER							0
TOTAL SALARIES AND WAGES (A + B)							0
C. FRINGE BENEFITS (IF CHARGED AS DIRECT COSTS)							10,800
TOTAL SALARIES, WAGES AND FRINGE BENEFITS (A + B + C)							55,800
D. EQUIPMENT (LIST ITEM AND DOLLAR AMOUNT FOR EACH ITEM EXCEEDING \$5,000.)							
TOTAL EQUIPMENT							0
E. TRAVEL 1. DOMESTIC (INCL. U.S. POSSESSIONS)							13,200
2. INTERNATIONAL							0
F. PARTICIPANT SUPPORT COSTS							
1. STIPENDS \$ _____							0
2. TRAVEL _____							0
3. SUBSISTENCE _____							0
4. OTHER _____							
TOTAL NUMBER OF PARTICIPANTS (0) TOTAL PARTICIPANT COSTS							
G. OTHER DIRECT COSTS							
1. MATERIALS AND SUPPLIES							10,000
2. PUBLICATION COSTS/DOCUMENTATION/DISSEMINATION							
3. CONSULTANT SERVICES							
4. COMPUTER SERVICES							
5. SUBAWARDS							150,000
6. OTHER							
TOTAL OTHER DIRECT COSTS							160,000
H. TOTAL DIRECT COSTS (A THROUGH G)							229,000
I. INDIRECT COSTS (F&A)(SPECIFY RATE AND BASE)							
MTDC (Rate: 65.0000, Base: 194,000) TOTAL IDC (F&A)							67,600
DIRECT AND INDIRECT COSTS (H + I)							296,600
K. SMALL BUSINESS FEE							0
L. AMOUNT OF THIS REQUEST (J) OR (J MINUS K)							296,600
M. COST SHARING PROPOSED LEVEL \$ 0				AGREED LEVEL IF DIFFERENT \$			
PI/PP NAME Grant Resultados				FOR NSF USE ONLY			
ORG. REP. NAME*				INDIRECT COST RATE VERIFICATION			
				Date Checked	Date Of Rate Sheet	Initials - ORG	

1 *ELECTRONIC SIGNATURES REQUIRED FOR REVISED BUDGET

Budget Narrative for BUCWC – Coffee with Colleagues, Cream and Sugar?

Project Start Date: January 1, 2019

Project End Date: December 31, 2019

PERSONNEL

\$20,000 is requested for PI, G. Resultous' effort for an equivalent of 2 AY months to be spread over multiple months

\$15,000 is requested for the Co-PI, M. Beaker, or an equivalent of 2 AY months to be paid over the two months we expect to travel

\$10,000 is requested for the Senior Researcher T. Tubes, for five months. This person is integral to the project as they will be collecting and collating all data related to this the project and indeed their area of expertise is coffee with friends, a very close field of study.

FRINGE

\$10,800 for fringe for all staff at the professional rate based on the current rate agreement at 24%

TRAVEL

\$13,200 is requested in travel costs, to cover travel to conferences in the US for the senior personnel to see how these sessions are working on a national level

SUPPLIES

\$10,000 for materials and supplies including two laptops. There is no specialty equipment needed for this award.

SUBAWARDS

\$150,000 Funding is requested for a subaward to ABC Entity

TOTAL \$229,000

F&A (INDIRECT) COSTS

F&A (Indirect) costs are based on the current negotiated rate agreement established between the University and the Department of Health and Human Services, dated 6/5/2017. The current rate is 65% and is applied to the modified total direct costs.

Grant Document for Expenditures (page 1 of 2)

Grant	Sponsored Program (IO)		Sponsored Class	GL Account / Commitment item	Posting date	Text	Document Type	FI Document Number	Reference Doc.Number	Reference Post.Line	Vendor	Vendor Invoice	PO Number	SC Number	Expenditures	Date paid	
50200008	BUCWC	9500000009	02 SALARIES & WAGES	500010	02/28/2019	RESULTOS, GRANT, U#####	Y2 Payroll Posting	#	-	#	#	#	#	#	4,000.00	2/28/2019	
50200008	BUCWC	9500000009	02 SALARIES & WAGES	500010	02/28/2019	TUBES, T, U#####	Y2 Payroll Posting	#	-	#	#	#	#	#	2,000.00	2/28/2019	
50200008	BUCWC	9500000009	02 SALARIES & WAGES	500010	03/31/2019	RESULTOS, GRANT, U#####	Y2 Payroll Posting	#	-	#	#	#	#	#	4,000.00	3/31/2019	
50200008	BUCWC	9500000009	02 SALARIES & WAGES	500010	05/31/2019	RESULTOS, GRANT, U#####	Y2 Payroll Posting	#	-	#	#	#	#	#	4,000.00	5/31/2019	
50200008	BUCWC	9500000009	02 SALARIES & WAGES	500010	06/28/2019	TUBES, T, U#####	Y2 Payroll Posting	#	-	#	#	#	#	#	2,000.00	6/28/2019	
50200008	BUCWC	9500000009	02 SALARIES & WAGES	500010	07/31/2019	TUBES, T, U#####	Y2 Payroll Posting	#	-	#	#	#	#	#	2,000.00	7/31/2019	
50200008	BUCWC	9500000009	02 SALARIES & WAGES	500010	09/30/2019	TUBES, T, U#####	Y2 Payroll Posting	#	-	#	#	#	#	#	2,000.00	9/30/2019	
50200008	BUCWC	9500000009	02 SALARIES & WAGES	500010	01/31/2020	TUBES, T, U#####	Y2 Payroll Posting	#	-	#	#	#	#	#	2,000.00	01/31/2020	
50200008	BUCWC	9500000009	02 SALARIES & WAGES	500070	06/28/2019	RESULTOS, GRANT, U#####	Y2 Payroll Posting	#	-	#	#	#	#	#	4,000.00	6/28/2019	
50200008	BUCWC	9500000009	02 SALARIES & WAGES	500070	07/31/2019	RESULTOS, GRANT, U#####	Y2 Payroll Posting	#	-	#	#	#	#	#	4,000.00	7/31/2019	
50200008	BUCWC	9500000009	03 FRINGE	860200	02/26/2019	Not assigned	ZF FICO Recon	1004123456	3002070501	1	#	#	#	#	1,440.00	2/26/2019	
50200008	BUCWC	9500000009	03 FRINGE	860200	03/29/2019	Not assigned	ZF FICO Recon	1004123457	3002070520	1	#	#	#	#	960.00	3/29/2019	
50200008	BUCWC	9500000009	03 FRINGE	860200	05/29/2019	Not assigned	ZF FICO Recon	1004123458	3002070531	1	#	#	#	#	960.00	5/29/2019	
50200008	BUCWC	9500000009	03 FRINGE	860200	06/26/2019	Not assigned	ZF FICO Recon	1004123459	3002070540	1	#	#	#	#	1,440.00	6/26/2019	
50200008	BUCWC	9500000009	03 FRINGE	860200	07/29/2019	Not assigned	ZF FICO Recon	1004123460	3002070560	1	#	#	#	#	1,440.00	7/29/2019	
50200008	BUCWC	9500000009	03 FRINGE	860200	09/28/2019	Not assigned	ZF FICO Recon	1004123461	3002070580	1	#	#	#	#	480.00	9/28/2019	
50200008	BUCWC	9500000009	03 FRINGE	860200	01/31/2020	Not assigned	ZF FICO Recon	1004123475	3002070590	1	#	#	#	#	480.00	1/31/2020	
50200008	BUCWC	9500000009	04 MATERIALS AND SUPPLIES	510100	02/01/2019	AMAZON	ZK Cost Transfer <90	1111111111	1111111111	1	#	#	#	#	15.99	2/1/2019	
50200008	BUCWC	9500000009	05 CAPITAL EQ UIP	571400	12/31/2019	B_GRAPHITIS_RPI	RE Invoice - Gross	5110800000	5110800000	1	10000925	Research Prec Instr Inc.	52210	8500571234	10010612345	11,000.00	
50200008	BUCWC	9500000009	07 TRAVEL FOREIGN	513700	07/15/2019	G_RESULTOS - CWC GERMANY	YT Concur Invoice	2100120000	2100120000	4	#	#	000000000208287	#	#	1,200.00	7/15/2019
50200008	BUCWC	9500000009	07 TRAVEL FOREIGN	513700	08/15/2019	B_GRAPHITIS - CWC ITALY	YT Concur Invoice	2100120001	2100120001	6	#	#	000000000208287	#	#	1,000.00	8/15/2019
50200008	BUCWC	9500000009	07 TRAVEL FOREIGN	513700	08/28/2019	B_GRAPHITIS - CWC FRANCE	YT Concur Invoice	2100120002	2100120002	8	#	#	000000000208287	#	#	1,000.00	8/28/2019
50200008	BUCWC	9500000009	08 SUBAWARDS	517510	03/18/2019	*4500001234 Jan-Feb 19	KR Vendor Invoice	1900705089	1900705089	1	30000123	ABC	1	#	#	25,000.00	3/18/2019
50200008	BUCWC	9500000009	08 SUBAWARDS	517520	03/18/2019	*4500001234 Jan-Feb 19	KR Vendor Invoice	1900705089	1900705089	2	30000123	ABC	1	#	#	33,500.00	3/18/2019
50200008	BUCWC	9500000009	08 SUBAWARDS	517520	08/22/2019	*4500001234 Mar-July 19	KR Vendor Invoice	1900705089	1900705089	2	30000123	ABC	2	#	#	41,500.00	8/22/2019
50200008	BUCWC	9500000009	08 SUBAWARDS	517520	02/08/2020	*4500001234 January 2020	KR Vendor Invoice	1900705089	1900705089	2	30000123	ABC	FINAL	#	#	23,495.00	2/8/2020
50200008	BUCWC	9500000009	35 F&A	860100	02/01/2019	Not assigned	ZF FICO Recon	1003950836	3001999505	1	#	#	#	#	10.39	2/1/2019	
50200008	BUCWC	9500000009	35 F&A	860100	02/26/2019	Not assigned	ZF FICO Recon	1003950836	3001999505	1	#	#	#	#	4,836.00	2/26/2019	
50200008	BUCWC	9500000009	35 F&A	860100	03/18/2019	Not assigned	ZF FICO Recon	1003950836	3001999505	1	#	#	#	#	16,250.00	3/18/2019	

Q1

Q3

Q3

Q2

Q1

Q1

Q3

Grant Document for Expenditures (page 2 of 2)

50200008	BUCWC	9500000009	BUCWC Year 01	35 F&A	860100	F&A EXTERNAL	03/29/2019	Not assigned	ZF	FICO Recon	1003950836	3001999505	1	#	-	#	#	#	3,224.00	3/29/2019
50200008	BUCWC	9500000009	BUCWC Year 01	35 F&A	860100	F&A EXTERNAL	05/29/2019	Not assigned	ZF	FICO Recon	1003950836	3001999505	1	#	-	#	#	#	3,224.00	5/29/2019
50200008	BUCWC	9500000009	BUCWC Year 01	35 F&A	860100	F&A EXTERNAL	06/26/2019	Not assigned	ZF	FICO Recon	1004133458	3002079981	3	#	-	#	#	#	4,836.00	6/26/2019
50200008	BUCWC	9500000009	BUCWC Year 01	35 F&A	860100	F&A EXTERNAL	07/15/2019	Not assigned	ZF	FICO Recon	1004112483	3002070548	3	#	-	#	#	#	780.00	7/15/2019
50200008	BUCWC	9500000009	BUCWC Year 01	35 F&A	860100	F&A EXTERNAL	07/29/2019	Not assigned	ZF	FICO Recon	1004112483	3002070548	3	#	-	#	#	#	4,836.00	7/29/2019
50200008	BUCWC	9500000009	BUCWC Year 01	35 F&A	860100	F&A EXTERNAL	08/15/2019	Not assigned	ZF	FICO Recon	1004133458	3002079981	3	#	-	#	#	#	650.00	8/15/2019
50200008	BUCWC	9500000009	BUCWC Year 01	35 F&A	860100	F&A EXTERNAL	08/28/2019	Not assigned	ZF	FICO Recon	1004133458	3002079981	3	#	-	#	#	#	650.00	8/28/2019
50200008	BUCWC	9500000009	BUCWC Year 01	35 F&A	860100	F&A EXTERNAL	09/28/2019	Not assigned	ZF	FICO Recon	1004133458	3002079981	3	#	-	#	#	#	1,612.00	9/28/2019
50200008	BUCWC	9500000009	BUCWC Year 01	35 F&A	860100	F&A EXTERNAL	01/31/2020	Not assigned	ZF	FICO Recon	1004182836	3002099809	3	#	-	#	#	#	1,612.00	1/31/2020
Overall Result																			217,431.38	

Q3

Allowable Costs/ Cost Principles



- **Salary expenses:** (i) the PA15 Labor distribution report, (ii) the PAR, SAP/HR job description, (iv) evidence of salary increases and increase approvals.
- **Non-salary expenses:** Invoice and AP disbursement request form, purchase order/shopping cart (see Procurement), P.Card approval, Concur expense report , etc.
- **Cost transfers:** journal entry or salary along with PAFO approval, PI approval (if over 90 days), BW report showing original posting, and all additional supporting documentation (invoice, PAR).
- **Budget-to-Actual:** screenshot from the Business Warehouse showing total Budget allowed per the Grant and cumulative expenditures to date.

ABC Co., Inc.

Sponsor:

Via Email to subinv@bu.edu
Trustees of Boston University
25 Buick Street, 2nd Floor
Boston, MA 02215
United States

Invoice No: Final
Invoice Date: 2/03/20
Payment Terms: Upon Receipt
Obligated Funding: 150,000.00
Award End Date: 12/31/19

Subaward No: 4500001234

Prime Award Sponsor: National Science Foundation

Award Title: BUCWC – Coffee with Colleagues, Cream & Sugar?

<u>Categories</u>	<u>Billing Period:</u>		<u>Cumulative Amount</u>
	<u>1/1/2020 thru 1/31/2020</u>		
Salaries and Wages	\$	9,500.00	\$ 55,000.00
Fringe	\$	1,995.00	\$ 11,550.00
Domestic Travel	\$	1,050.02	\$ 6,453.53
Minor equipment (Dell computer)	\$	2,139.36	\$ 4,180.85
Facilities and Administration	\$	8,810.62	\$ 46,310.62
total costs	\$	23,495.00	\$ 123,495.00

"By signing this report, I certify to the best of my knowledge and belief that the report is true, complete, and accurate, and the expenditures, disbursements and cash receipts are for the purposes and objectives set forth in the terms and conditions of the Federal award. I am aware that any false, fictitious, or fraudulent information, or the omission of any material fact, may subject me to criminal, civil or administrative penalties for fraud, false statements, false claims or otherwise. (U.S. Code Title 18, Section 1001 and Title 31, Sections 3729-3730 and 3801-3812)."



Tim Jones, Assoc. Dir. Research Finance ABC Co., Inc.