Supporting Humanities Grantseekers

Gloria Waters | Gene Jarrett | Diane Baldwin | Marla Krauss | Russell Powell



Research

BOSTON UNIVERSITY

Terminology and Acronyms

OSP: Sponsored Programs office

PAFO: Post Award Financial Operations office

RA: Research Administrator: your Sponsored Programs contact

DA: Department Administrator: your school/department contact

PI: Principal Investigator: faculty member





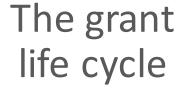


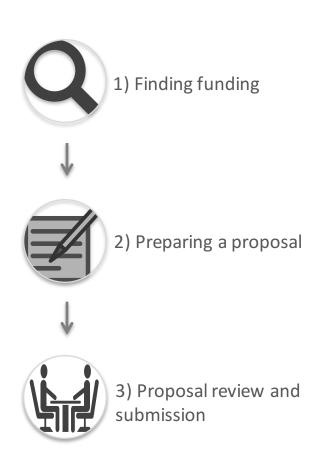














Tools to find funding

COS Pivot An up-to-date, searchable database of a wide variety of federal, non-federal, foundation, and private funding opportunities for all disciplines.

Free with an @bu.edu email.

Find it:

bu.edu/research/findfunding

Foundation Directory Online

Find potential foundation grants and funders for your work.

Free with an @bu.edu email.

Find it:

bu.edu/foundations

-Faculty Seeking Funding tab















College of Arts & Sciences Research Office

Associate Deans

- Gene Jarrett: Humanities
- Nancy Ammerman: Social Sciences
- Mike Sorenson: Natural Sciences
- Stan Sclaroff: Mathematical and Computational Sciences

Proposal Development Specialist: Marla Krauss

- Review internal paperwork
- Budget preparation
- Grants questions (BU policy, grant prep, grant searches, etc.)















College of Arts & Sciences Research Office: Internal Package

- Mandatory
- Send to <u>casgrant@bu.edu</u> one week before submission deadline
- Associate Dean reviews, signs
- We send to OSP after signature
- Understood as advanced draft (minor changes allowed)

Consists of:

Proposal Summary Form | Export Control From | Financial COI information filled out online | Budget | Budget justification | Project summary | Other forms, as needed

Summarized in Faculty & Staff Handbook under Research: bu.edu/cas/faculty-staff/faculty-staff-handbook/research/















Budget: Project Title 9/1/17-8/31/19

9/1/17-8/31/18 9/1/18-8/31/19

Personnel		Year 1	Year 2
PI: A. Researcher (1 summer month)		\$10,000	\$10,300
·	Total Senior Personnel:	\$10,000	\$10,300
Graduate Student (12 months)		\$32,000	\$32,960
	Total Graduate Students:	\$32,000	\$32,960
PI fringe at 27%		\$2,700	\$2,781
Grad student at 8.8%		\$2,816	\$2,900
	Total Fringe:	\$5,516	\$5,681
Total Salary/Wages		\$47,516	\$48,941
Non-personnel			
Supplies		\$5,000	\$5,000
Travel		\$2,500	\$0
	Total non-personnel:	\$7,500	\$5,000
Total Direct Costs		\$55,016	\$53,941
Modified Total Direct Costs (MTDC)		\$55,016	\$53,941
IDC (64.5% through 6/30/18)		\$29,571	
IDC (65% as of 7/1/18)	•	\$5,960	\$35,062
Total IDC		\$35,531	\$35,062

Sample Budget

College of Arts & Sciences Research Office: Budget Points

- Remember fringe
- 9 vs. 12 month pay schedules
- Factor in 3% annual increase
- Check sponsor's indirect costs policy
- Not everything counts towards indirect costs
- Use current rates (fringe and indirect costs)
- Start early















We serve as the "Authorized Institutional Official"

Review proposals before submission to ensure they are:

- In alignment and compliant with:
 - Sponsor guidelines
 - BU budgeting and policies















Accept awards on behalf of Boston University

Negotiate terms and conditions if needed

Issue outgoing SubAwards to other researchers

Establish Award and create account in university systems

 You receive a notification of sponsor requirements and a copy of your award















Post-award non-financial management

- Submit major changes that need sponsor approval *after* an award is made.
 - Re-budgeting
 - Change of scope request
 - No-cost extension















Post-award financial management

- Fiscal oversight of awards
- Ensure expenditures meet Uniform Guidance cost principles:
 - Allowable; Allocable; Reasonable; Consistently Treated
- Handle salary and non-salary cost transfers
- Billing and payments from sponsors
- Effort certification
- Sub-recipient monitoring
 - Process subcontractors invoices for compliance















Award Closeout

- Award specific
- Usually a shared responsibility between OSP, PAFO, PI
 - Technical or final progress reports—PI
 - Final financial report—PAFO, consulting with PI
 - Property Reports—PAFO
 - Report Cost Sharing to Sponsors—PAFO
 - Patent Reporting—OSP, OTD, PI















How we work with Foundation Relations

Partnership to support faculty

- Foundation Relations expertise:
 - Relationship building
 - Knowledge of BU-Foundation engagements
 - Facilitate introductions
 - Identify opportunities
- Sponsored Programs expertise:
 - Proposal compliance with BU and sponsor guidelines/policies















Effort Areas

Sponsored Programs

PreAward Teams

- Proposal review/submission
- Award acceptance
- Award/account set-up
- Non-financial post award management
- Contracting
 - Industry Agreements
 - CDA's, NDA's, MTA's
 - Outgoing Subcontracts
- Federal Contracts
- Systems/Analytics
 - Proposals/Awards Reporting
 - Ad hoc Reporting
 - Metrics
- Kuali Coeus support

- Research
 Administration/Accounting
- Cash Management
- Facilities, Cost Analysis, Property
- Service Center Administration
- Effort Reporting

Post Award Financial Operations

- Conflict of Interest
- Animal Care
- Environmental Health & Safety
- Export Control
- Human Subjects
- Research Occupational Health
- Responsible Conduct of Research

Research Compliance

Helpful Guidance

- Notify your Sponsored Programs Research
 Administrator when you know you'll be submitting a proposal
- Submit your proposal at least 5 days in advance of the sponsor deadline
- Keep us informed if you hear from the sponsor

Resources

- Diane Baldwin <u>dbaldwin@bu.edu</u> 353-4377
- Marla Krauss mkrauss@bu.edu 358-5264
- Online & email resources
 - bu.edu/osp | ospinfo@bu.edu
 - bu.edu/research | research@bu.edu
 - CAS Summary of Internal Process, including links to forms:
 - bu.edu/cas/faculty-staff/faculty-staffhandbook/research/
 - Research Administrator list:
 - bu.edu/osp/contact-us

Thank you

