

Case

Nom from finite T

no case from nonfinite T (T [inf], M *to*)Null case for PRO (from C \emptyset_{NULL} or from T [ing])Acc from *v*—if *v* has a specifier (θ -role assigned to it, Exp or Ag)*of*-case from *n*

Acc from P

 θ -roles/UTAH:Agent to Spec $v_{\text{AGENT}}\text{P}$ (DP daughter of $v_{\text{AGENT}}\text{P}$)[_{TP} John T [_{VP} <**John**> bought flowers]]Agent to Spec $n_{\text{AGENT}}\text{P}$ (DP daughter of $n_{\text{AGENT}}\text{P}$)[_{DP} John's \emptyset_{GEN} [_{nP} <**John**> buying of flowers]]Experiencer to Spec $v_{\text{EXP}}\text{P}$ (DP daughter of $v_{\text{EXP}}\text{P}$)[_{TP} John T [_{VP} <**John**> heard a noise]]Experiencer to Spec $n_{\text{EXP}}\text{P}$ (DP daughter of $n_{\text{EXP}}\text{P}$)[_{DP} John's \emptyset_{GEN} [_{nP} <**John**> hearing of a noise]]

Theme to DP daughter of VP

[_{TP} John T [_{VP} <John> bought [_{VP} **flowers**]]]

Theme to DP daughter of NP

[_{DP} John's \emptyset_{GEN} [_{nP} <John> buying [_{DP} **of flowers**]]]

Goal to PP sister of V

[_{TP} John T [_{VP} gave [_{VP} flowers <gave> [_{PP} **to Mary**]]]]

Goal to PP sister of V

[_{DP} John's \emptyset_{GEN} [_{nP} gift [_{NP} of flowers <gift> [_{PP} **to Mary**]]]]

Possessor to SpecPossP (DP daughter of PossP)

[_{DP} John's \emptyset_{GEN} [_{PossP} <**John**> [_{nP} house]]]]

Proposition to TP or CP sister of V

[_{TP} John T [_{VP} said [_{CP} **that he bought flowers**]]]][_{TP} John T [_{VP} considers [_{TP} **me to be annoying**]]]]

Proposition to TP or CP sister of N

[_{DP} John's \emptyset_{GEN} [_{nP} assertion [_{CP} **that he bought flowers**]]]][_{DP} John's \emptyset_{GEN} [_{nP} expectation [_{TP} **of me to buy flowers**]]]]Possessee to DP sister of V_{HAVE} (double-object constructions only)[_{TP} John T [_{VP} <John> gave Mary [_{DP} **flowers**]]]

Wh-movement/phases/islands:

Phases: C and complement are “frozen” when CP is finished.

SpecCP is also “frozen” if the CP doesn’t get a θ -role (adjunct)

Wh-words must move to SpecCP in order to be able to move higher.

“Islands” are cases where *wh*-words are trapped in a phase.

Wh-island: *wh*-word needs to move higher but can’t move to intermediate

SpecCP because it is already filled:

**What did John wonder who bought?*

Adjunct island: Even SpecCP is invisible when phase completes (no θ -role).

**What did John kick the chair while Mary read?*

CNP island (DP island): *DEFINITE* SpecDP is not a good place for *wh*-words.

**What did John read the book about?*

**What did John read my book about?*

but ok: *What did John read a book about?*

but ok: *What did John read books about?*

Binding theory:

Binding: X binds Y if and only if X c-commands Y and X and Y are coindexed.

Sharing an index implies sharing of reference.

Binding is “assignment of reference” (from X to Y)

Binding domain is “minimal TP containing Y.”

Principle A: Anaphors must be bound within their binding domain.

ok: John said [_{CP} that [_{TP} **Mary_i** saw **herself_i**]]

not: *John_i said [_{CP} that [_{TP} **Mary** saw **himself_i**]]

not: *John said [_{CP} that [_{TP} **herself_i** saw **Mary_i**]]

Principle B: Pronouns must *not* be bound within their binding domain.

ok: John_i said [_{CP} that [_{TP} **Mary** saw **him_i**]]

ok: John_i said [_{CP} that [_{TP} **he_i** saw **Mary**]]

not: *John said [_{CP} that [_{TP} **Mary_i** saw **her_i**]]

Principle C: R-expressions (referring expressions) must not be bound *at all*.

ok: [_{DP} his_i mother] told me that John_i skipped work.

not: *He_i told me that [_{DP} John_i’s mother]_i skipped work.

PRO:

PRO is a DP (essentially a pronoun) and can wind up in SpecTP.

PRO can only get null case and *must* get null case.

Null case can only come from \emptyset_{NULL} C or from [ing] T.

PRO gets a θ -role, it can only be there if there is an “extra” θ -role.

The referent of PRO can be the same as the higher subject (subject control verbs).

John promised Mary [_{CP} \emptyset_{NULL} [_{TP} PRO to leave]]

The referent of PRO can be the same as the higher object (object control verbs).

John persuaded Mary [_{CP} \emptyset_{NULL} [_{TP} PRO to leave]]

In some cases, PRO can be “arbitrary”

[_{CP} \emptyset_{NULL} PRO to leave now] would be a mistake.

In *ing* adjuncts, PRO is generally controlled by the subject

[_{CP} Upon PRO hearing the complaint] John called his lawyer.

Raising/ECM:

Raising verbs do not assign an “external” θ -role (Agent, Proposition).

The subject of a raising verb has come from its embedded proposition:

[_{TP} John seems [_{TP} <John> to have left]]

ECM verbs assign accusative case to the embedded subject.

[_{TP} John finds [_{TP} me to be annoying]]

It is easy to combine control, raising, and ECM verbs:

John seems to want me to appear to have forced Bill to look to be grumpy.

[_{TP} John seems [_{TP} <John> to want [_{TP} me to appear

raising

ECM

raising

[_{TP} <me> to force Bill [_{CP} \emptyset_{NULL} PRO to look [_{TP} <PRO> to be grumpy...

obj.control

raising

Mary knows John's innermost secrets.

What does John know?

Who did he say John was told to feed?

John knows what Pat was told to feed us.

*Who does John know what Pat was told to feed?

After embarrassing himself, Pat left.

*After snowing, Pat left.

*Who did Pat leave after embarrassing?

The consumption of beer is considered to have caused the problem.

The story John was told caused him to cry.

*Who did Mary meet a lawyer that introduced to her?

What did Mary buy a bundle of?

*What did Mary buy my bundle of?

After sinking the Titanic, the iceberg melted.

John seems to have persuaded Mary to promise to leave.