

Studies Mentioned in the Dodd Bill

Title	Citation	Dodd Bill Page	Description	Responsible Agency	Report Due Date
Title I - Financial stability	Subtitle A Sec. 115(c)	43	Study of the feasibility, benefits, costs, and structure of a contingent capital requirement for nonbank financial companies supervised by the Board of Governors and bank holding companies	Financial Stability Oversight Council; See Subtitle A Sec. 111	Not later than 2 years after the date of enactment of the act
Title II - Orderly liquidation authority	Sec. 202(e)	119	Study of bankruptcy and orderly liquidation process for financial companies	The Administrative Office of the US Courts and the Comptroller General (separate studies)	Not later than 1 year after the enactment of the Act, in each successive year until the third year, and every fifth year after the date of enactment
Title II - Orderly liquidation authority	Sec. 202(f)	120	Study of international coordination relating to bankruptcy process for financial companies	Comptroller General	Not later than 1 year after the enactment of the Act, in each successive year until the third year, and every fifth year after the date of enactment
Title IV - Regulation of advisers to hedge funds and others	Sec. 413	381	GAO study and report on accredited investors	Comptroller General	No later than 1 year after the enactment of the act
Title IV - Regulation of advisers to hedge funds and others	Sec. 414	381	GAO study on self-regulatory organization for private funds	Comptroller General	No later than 1 year after the enactment of the act
Title IV - Regulation of advisers to hedge funds and others	Sec. 415	382	Study on the state of short selling on national securities exchanges and in over-the-counter markets, with particular attention to the impact of recent rule changes and the incidence of (1) the failure to deliver shares sold short; or (2) delivery of shares on the fourth day following the short sale transaction	The Office of Risk, Strategy, and Financial Innovation of the Commission	No later than 2 years after the enactment of the act

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Title V - Insurance	Subtitle A Sec. 502	395	Study and report on regulation of insurance	Director of the Office of National Insurance	Not later than 18 months after the date of enactment of this section
Title V - Insurance	Subtitle B Part I, Sec. 526	407	GAO study of nonadmitted insurance market	Comptroller General	Not later than 30 months after the effective date of this subtitle
Title VI - Improvements to regulation of bank and savings association holding companies and depository institutions	Sec. 603	425	GAO study of exceptions under the Bank Holding Company Act of 1956	Comptroller General	Not later than 18 months after the date of enactment of this section
Title VI - Improvements to regulation of bank and savings association holding companies and depository institutions	Sec. 619 (g)	484	Study of the definitions under subsection (a) and the other provisions under subsections (b) through (f), to assess the extent to which the definitions and implementation would promote safety and soundness; protect taxpayers and enhance financial stability; limit inappropriate transfer of Federal subsidies; reduce conflicts of interest; raise the cost of credit; and limit undue risk and costs	Financial Stability Oversight Council	Study shall be completed not later than 6 months after the enactment of the Act.

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Title VI - Improvements to regulation of bank and savings association holding companies and depository institutions	Sec. 620 (e)	491	Study of the extent to which the concentration limit under this section would affect financial stability, moral hazard in the financial system, the efficiency and competitiveness of US financial firms and financial markets, and the cost and availability of credit and other financial services to households and businesses in the US	Financial Stability Oversight Council	Study shall be completed not later than 6 months after the enactment of the Act.
Title VII - Improvements to regulation of over-the-counter derivatives markets	Subtitle C Sec. 763	716	Study of how the CFTC and the SEC have implemented this title; the extent to which jurisdictional disputes have created challenges in the process of implementing this title; the benefits and drawbacks of harmonizing laws implemented by the CFTC and the SEC and merging those two agencies; the benefits and feasibility of holding both futures and securities products in the same account to allow cross-netting; the benefits and feasibility of imposing a uniform fiduciary duty on financial intermediaries	Comptroller General	Not later than 1 year after the date of enactment of this title
Title IX - Investor protections and improvements to the regulation of securities	Subtitle A Sec. 913	767	Study and rulemaking regarding obligations of brokers, dealers, and investment advisers	Securities Exchange Commission	Not later than 1 year after the date of enactment of this Act
Title IX - Investor protections and improvements to the regulation of securities	Subtitle A Sec. 916	790	Study regarding financial literacy among investors	Securities Exchange Commission	Not later than 2 years after the date of enactment of this Act

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Title IX - Investor protections and improvements to the regulation of securities	Subtitle A Sec. 917	792	Study regarding mutual fund advertising	Comptroller General	Not later than 1 year after the date of enactment of this Act
Title IX - Investor protections and improvements to the regulation of securities	Subtitle C Sec. 939	849	GAO study and federal agency review of required uses of nationally recognized statistical rating organization ratings	Comptroller General	Not later than 2 years after the date of enactment of this Act
Title IX - Investor protections and improvements to the regulation of securities	Subtitle C Sec. 939A	853	Study on strengthening credit rating agency independence	Securities Exchange Commission	Not later than 3 years after the date of enactment of this Act
Title IX - Investor protections and improvements to the regulation of securities	Subtitle C Sec. 939B	855	GAO study on alternative business models	Comptroller General	Not later than 1 year after the date of enactment of this Act
Title IX - Investor protections and improvements to the regulation of securities	Subtitle C Sec. 939C	855	GAO study on the creation of an independent professional analyst organization	Comptroller General	Not later than 1 year after the date of enactment of this Act
Title IX - Investor protections and improvements to the regulation of securities	Subtitle H Sec. 976	918	GAO study of increased disclosure to investors	Comptroller General	Not later than 1 year after the date of enactment of this Act

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Title IX - Investor protections and improvements to the regulation of securities	Subtitle H Sec. 977	920	GAO study on the municipal securities market	Comptroller General	Not later than 180 days after the date of enactment of this Act
Title IX - Investor protections and improvements to the regulation of securities	Subtitle H Sec. 978	921	Study of funding for government accounting standards board	Securities Exchange Commission	Not later than 270 days after the date of enactment of this Act
Title IX - Investor protections and improvements to the regulation of securities	Subtitle I Sec. 989	960	GAO study on proprietary trading	Comptroller General	Not later than 15 months after the date of enactment of this Act
Title X - Bureau of consumer financial protection	Subtitle B Sec. 1028(a)	1096	A study of the use of agreements providing for arbitration of any future dispute between covered persons and consumers in connection with the offering or providing of consumer financial products or services	Bureau of Consumer Financial Protection	n/a
Title X - Bureau of consumer financial protection	Subtitle G Sec. 1073	1227	GAO study on the effectiveness and impact of various appraisal methods	Government Accountability Office	Not later than 1 year after the date of enactment of this Act (final study); 90 days after the enactment of this act the GAO shall provide a report on the status of the study and any preliminary findings