

## **CAS IR 520/PO 562: The State and Public Purpose in Asia**

Spring 2008

Tuesday and Thursday, 11:00-12:30

IRC 220 (152 Bay State Rd)

**Professor William Grimes**

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Office Hours: Monday 1-3, Wednesday 2-3, Thursday 2-3, or by appt.

This course will address three questions: First, how can we best understand the growth patterns of the non-socialist economies of Northeast Asia? Second, what has been the relationship between state, government, and labor in these economies? And third, how have patterns of political inclusion or exclusion affected the types of economic policies and outcomes actually observed?

We will consider these questions in an explicitly comparative framework. While the countries in question are now highly industrialized, many of the issues addressed will be ones associated with their developmental pasts. Throughout, the focus will be on understanding the political and economic constraints within which policy makers operate, and the ways in which they formulate and choose among options.

In order to sharpen discussion and understanding, we will also address the questions at a more abstract level. Economists, political scientists, and sociologists have long studied these issues, and their insights will help to frame class discussion and analysis. In the first several weeks of the course, and occasionally thereafter, the first half of each class will be devoted to theoretical discussion, and the second will concentrate on applying those concepts to real-world situations.

### **Requirements**

This course will follow a seminar format; students are expected to participate actively in classes and keep up the weekly reading assignments (approximately 150-200 pp. per week). There will be one 5-7 page paper and a 15-20 page research paper. In addition, students are also required to post at least three short “thought papers” on the CourseInfo bulletin board over the course of the semester, and to comment on at least three thought papers posted by other students. The weights are as follows:

Short paper	20%
Research paper	40%
Participation	30%
Postings to CourseInfo	10%

I will assign topics for the short paper. As for the research paper, each student may write on a topic of his or her choice (in consultation with me), but will be expected to add considerably to what has been covered in the classroom and course readings. I will provide more information concerning the papers in separate handouts. Due dates are noted in the course outline which follows.

### **Requirements for Graduate Credit**

Graduate students' research papers should be approximately 20 pages long.

**ACADEMIC HONESTY:** You are expected to provide citations in papers for all quotations, paraphrases, and ideas taken from any source other than your own original thoughts. Boston University has very strict standards for intellectual integrity, and punishment for plagiarism is severe, and can include permanent expulsion from the university. For more on the definition of plagiarism and the standards to which you will be held, see the *Academic Conduct Code*, especially pp. 10-15, or <http://www.bu.edu/grs/academics/resources/adp.html>.

**NOTE:** If you miss class for any reason, it is **your** responsibility to ensure that you obtain any assignments or handouts. All assignments and handouts, in addition to the syllabus, will be made available on my webpage.

### **Readings**

The following books are on order at the Bookstore – purchase is optional but recommended. A single copy of each is also available on reserve at Mugar Library. Many of the course readings will be in the form of journal articles or book chapters. These are available either through the BU Library system's E-Journals system or as PDFs on the CourseInfo page. Most are also on reserve in Mugar Library. I have not prepared a coursepack because of the expense for students.

Muthiah Alagappa, *Civil Society and Political Change in Asia: Expanding and Contracting Democratic Space* (Stanford, 2004).

Edward Graham, *Reforming Korea's Industrial Conglomerates* (Institute for International Economics, 2003).

Hagen Koo, *Korean Workers* (Cornell, 2001).

Ikuo Kume, *Disparaged Success: Labor Politics in Postwar Japan* (Cornell, 1998).

Douglass North, *Institutions, Institutional Change and Economic Performance* (Cambridge, 1990).

Ulrike Schaede and William Grimes, eds., *Japan's Managed Globalization: Adapting to the 21<sup>st</sup> Century* (M.E. Sharpe, 2002).

Yves Tiberghien, *Entrepreneurial States: Reforming Corporate Governance in France, Japan, and Korea* (Cornell, 2007).

Joseph Wong, *Healthy Democracies: Welfare Politics in Taiwan and South Korea* (Cornell, 2004).

Steven Vogel, *Japan Remodeled: How Government and Industry Are Reforming Japanese Capitalism* (Cornell, 2006).

All handouts for the class, including the syllabus, assignments, and discussion questions will be available on the CourseInfo page. Students who miss class or lose handouts are responsible for obtaining copies from the web.

## **Course Outline**

### **The State and Public Purpose in Asia**

#### **Week 1**

What Is a State? What Is Public Purpose?

#### **Week 2**

The Logic of Late Development

Alexander Gerschenkron, "Economic Backwardness in Historical Perspective," in Alexander Gerschenkron, *Economic Backwardness in Historical Perspective: A Book of Essays*, (Harvard, 1962).

Japan as Late Developer

Sigurt Vitols, "The Origins of Bank-Based and Market-Based Financial Systems: Germany, Japan, and the United States," in Wolfgang Streeck and Kozo Yamamura, *Origins of Nonliberal Capitalism* (Cornell, 2001), pp. 171-199; Takeo Hoshi and Anil Kashyap, *Corporate Financing and Governance in Japan* (MIT, 2001), Chapt. 2-3.

#### **Week 3**

Developmentalism

Chalmers Johnson, *MITI and the Japanese Miracle* (Stanford, 1982), Chapt. 1; Meredith Woo-Cumings, ed., *The Developmental State* (Cornell, 1999), Chapt. 6, 7.

The Developmental State

Frederic C. Deyo, ed., *The Political Economy of the New Asian Industrialism* (Cornell, 1987), Chapt. 4; Richard Doner, Bryan Ritchie, and Dan Slater, "Systemic Vulnerability and the Origins of Developmental States: Northeast and Southeast Asia in Comparative Perspective," *International Organization*, vol. 59 (Spring) 2005, pp. 327-361; Robert Wade, *Governing the Market* (Princeton, 2003), Chapt. 4.

### **1<sup>ST</sup> PAPER TOPICS DISTRIBUTED**

#### **Week 4**

Persistence of Institutions

North, Chapt. 1-11.

Institutional Development of South Korea and Taiwan

Atul Kohli, "Where Do High Growth Political Economies Come From? The Japanese Lineage of Korea's 'Developmental State,'" *World Development*, vol. 22, no. 9, 1994, pp. 1269-1293; Stephan Haggard, David Kang, and Chung-in Moon, "Japanese Colonialism and Korean Development: A Critique," *World Development*, vol. 25, no. 6, 1997, pp. 867-881.

## **First Paper Due – Friday, February 16**

### **Week 5**

#### Corporatism

Philippe Schmitter and Gerhard Lehmbruch, *Trends toward Corporatist Intermediation* (Sage, 1979), Chapt. 1; Peter Hall and David Soskice, “An Introduction to the Varieties of Capitalism,” in Peter Hall and David Soskice, eds., *Varieties of Capitalism* (Oxford, 2001), pp. 1-68.

#### “Corporatism without Labor?”

T.J. Pempel and Keiichi Tsunekawa, “Corporatism without Labor? The Japanese Anomaly,” in Schmitter and Lehmbruch, *Trends toward Corporatist Intermediation*, Chapt. 9; Dennis McNamara, “Corporatism and Cooperation among Japanese Labor,” *Comparative Politics*, July 1990, pp. 379-397.

*Note: Thursday, February 21 is the last day to drop a course without receiving a “W” on your transcript.*

### **Week 6**

#### Civil Society

Muthiah Alagappa, *Civil Society and Political Change in Asia: Expanding and Contracting Democratic Space* (Stanford, 2004), “Introduction,” Chapt. 1, 14, 15. Frank Schwartz and Susan Pharr, *The State of Civil Society in Japan* (Cambridge, 2003), “Introduction” and Chapt. 1.

#### Civil Society and the State in East Asia

Alagappa, Chapt. 4, 7.

### **Week 7**

#### Labor Relations in Japan – Disparaged Success?

Kume, Chapt. 1-2.

#### Building Japan’s Labor Relations Regime

Kume, Chapt. 3-8.

## **RESEARCH PAPER PROPOSALS DUE**

### **Week 8**

#### Labor Repression and Democratization in Korea

Koo, Chapt. 1-4, 7-8.

*Note: Friday, March 21 is the last day to drop a course with a “W” grade. After this date, it is impossible to drop any course for the Spring semester.*

### **Week 9**

Labor and Democratization in Taiwan

Alagappa, Chapt. 5; Yin-Wah Chu, Labor and Democratization in South Korea and Taiwan, *Journal of Contemporary Asia*, vol. 28, no. 2, 1998; Yin-Wah Chu. Democracy and Organized Labor in Taiwan: The 1986 Transition, *Asian Survey*, vol. 36, no. 5 (May) 1996.

Democratization and Labor in Korea and Taiwan

Yoonkyung Lee, “Varieties of Labor Politics in Northeast Asian Democracies: Political Institutions and Union Activism in Korea and Taiwan,” *Asian Survey*, vol. 46, no. 5 (Sept/Oct), 2006, pp. 721-740; Yong Cheol Kim, “Industrial Reform and Labor Backlash in South Korea: Genesis, Escalation, and Termination of the 1997 General Strike,” *Asian Survey*, Vol. 38, No. 12. (Dec., 1998), pp. 1142-1160.

### **Week 10**

Democratization and Welfare Politics in Korea and Taiwan

Wong, Chapt. 1-7.

### **Week 11**

Developmentalism under Pressure in Taiwan

Alisa DiCaprio and Kevin Gallagher, “The WTO and the Shrinking of Development Space: How Big Is the Bite?” *Journal of World Investment & Trade*, vol. 7, no. 5 (October), 2006, pp. 781-803; Constance Meaney, “State Policy and the development of Taiwan’s Semiconductor Industry,” in Joel Aberbach, et al., eds., *The Role of the State in Taiwan’s Development* (M.E. Sharpe, 1994), pp. 170-192; Vincent Wei-cheng Wang, “Developing the Information Industry in Taiwan: Entrepreneurial State, Guerrilla Capitalists, and Accommodative Technologists,” *Pacific Affairs*, Vol. 68, No. 4. (Winter, 1995-1996), pp. 551-576; Joseph Wong, “Technovation in Taiwan: Implications for Industrial Governance,” *Governance*, vol. 19, no. 4 (October), 2006, pp. 651-672.

Developmentalism under Pressure in Korea

Graham, Chapt. 4-6.

### **Week 12**

Developmentalism under Pressure in Japan

Schaede and Grimes, Chapt. 1-2; Vogel, Chapt. 1-4.

**Week 13**

Japan Faces Globalization – Corporate Responses

Schaede and Grimes, Chaps. 6, 8, 9; Vogel, Chaps. 5-6.

**Week 14**

Financial Globalization and National Responses

Tiberghien, Chaps. 1, 2.

Cases: Japan and Korea

Tiberghien, Chaps. 4, 5

**Week 15**

Confronting Aging Populations in East Asia

Andrew Mason, *Population Change and Economic Development in East Asia* (Stanford University Press, 2001), Chapters 3, 5, 6; Nicholas Eberstadt, “Asia Tomorrow, Gray and Male,” *The National Interest*, no. 53, Fall 1998, 56-65; Hiromitsu Ishi, *Making Fiscal Policy in Japan* (Oxford University Press, 2000), Chapt. 10.

**FINAL PAPER DUE – Friday, May 2**