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# Models of sustained attention

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Attention is not constant, but fluctuates from moment-to-moment. Multiple neurocognitive factors contribute to these fluctuations, acting to help us get 'in the zone' as well as pulling us away from this optimal and fleeting state. Models of arousal, mind wandering, cognitive resource allocation, and effort have consequences for this fundamental process. Integrating these models with an understanding of how attentional fluctuations impact information processing—from stimulus to motor representations—will help to reveal the causes and consequences of these fluctuations. This integrated perspective has implications for a range of clinical populations and cognitive processes that rely on attention.

## Addresses

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The ability to sustain attention is critical to most everyday tasks with real world implications that impact academic outcomes [1], safety, social communication, and mental health. Despite this, sustained attention is generally less studied than transient aspects of attention like shifting, dividing and attentional selection. What distinguishes investigations into sustained attention is the focus on performance on a single task over time, with the goal of explaining both the fluctuations within an individual as well as the individual differences in overall ability to maintain stable task performance. Until recently [2<sup>\*</sup>], sustained attention research often failed to appreciate that performance fluctuates within an individual from moment-to-moment, and that these fluctuations have heterogeneous causes, each of which may impact the stream of task-directed information processing uniquely. As we will describe in a novel framework, the underlying causes of performance fluctuations are multifaceted, and

are informed by research into mind wandering, arousal, motivation, effort, reward, as well as the information processing theories that underlie transient attention research. The proposed framework below indicates that a wide breadth of cognitive processes are relevant to sustained attention, thus the underlying neural architecture is, unsurprisingly, highly distributed [2<sup>\*</sup>]. Further, the proposed framework may also help explain why sustained attention deficits are so ubiquitous in clinical populations, as well as link different clinical disorders to different mechanisms of dysfunction. Understanding why attention fluctuates within and across individuals will ultimately lead to a more dynamic and integrated understanding of other aspects of cognition and brain functioning in health and disease.

## Empirical findings: decrements and fluctuations

Research into sustained attention was first characterized by Mackworth in 1948 [3], and was developed as a scientific discipline by the human factors research community [4]. These initial paradigms involved the detection of rare targets amongst non-targets, over long durations (minutes to hours) and was essential to the characterization of vigilance decrements, or performance decline over time. Vigilance decrements along with subjective reports have promoted a theory that sustained attention uses a limited resource that can be depleted [4]. However, what comprises this resource has been a matter of much debate and skepticism (for discussions see Refs. [5–8,9<sup>\*</sup>,10]). Many paradoxical findings, such as the fact that easier tasks can sometime lead to greater vigilance decrements [11,12], as well as the demonstrable role of motivation in sustaining attention [8,13], has cast doubt on this strict resource depletion model.

Beyond decrements over time, attention, and cognitive functioning more broadly, oscillates over multiple time-scales from daily (homeostatic, circadian, sleep) to sub-second variations [14,15], and this temporal structure in behavior may be related to temporal structure in ongoing neural activity [16,17]. The past few decades saw the development of new methods to characterize fluctuations in a temporal range relevant to sustained attention, on the order of seconds to minutes [18–20].

The most straightforward way to measure attentional fluctuations during sustained attention is by moment-to-moment measures of performance. Sustained attention tasks that require continuous responses [21,22], such as not-X CPTs requiring a response for frequent non-targets and response inhibition for rare targets, sample reaction

times continuously at a high rate (e.g. 1 Hz). Examining the trial-to-trial variability of RTs has enabled researchers to track fluctuations in performance with a high degree of temporal resolution. Accordingly, our lab recently introduced a method to explore intraindividual fluctuations in reaction time, called the variance time course (VTC) [18,23–25,26<sup>••</sup>,27–30]. Specifically, we examined moment-to-moment deviation from the mean RT, during the gradual onset continuous performance task (gradCPT), a not-X CPT with gradually and rapidly fading scene images. Analysis of the VTC has revealed that attention fluctuates between periods of low variability, high accuracy, and small error-related adjustments ('in the zone' attentional state), and periods of higher variability, lower accuracy, and larger error-related adjustments ('out of the zone' attentional state), across multiple tasks [18,24,25]. These fluctuations in variability are largely independent of vigilance decrements [13,18] (see Figure 1).

### Neurocognitive models

We have outlined two broad categories of empirical findings relating to sustained attention: decrements and fluctuations. Below we will describe neurocognitive models that set out to explain core factors that relate to sustained attention (see Figure 2).

Figure 1

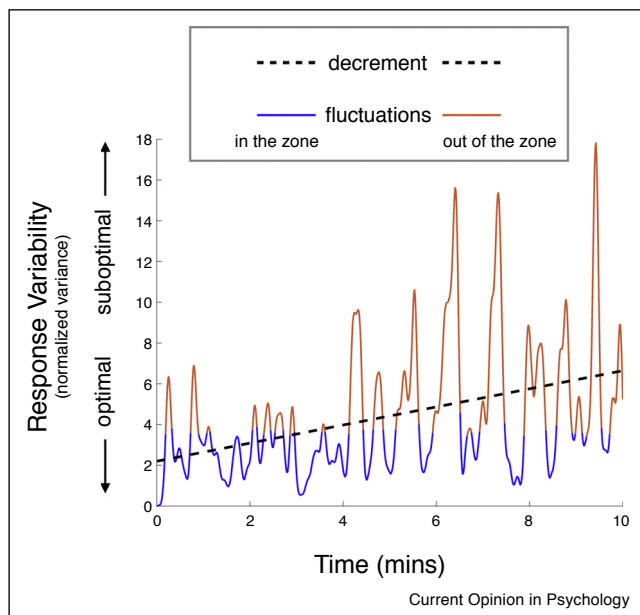


Illustration of attentional fluctuations and vigilance decrements. In this sample performance on a sustained attention task (i.e. gradCPT), performance (response variability, y-axis) worsens linearly across 10-min of task (vigilance decrement, dashed line). Additionally, performance fluctuates from moment-to-moment between stable (in the zone; blue) and variable (out of the zone; orange) periods.

### Arousal model

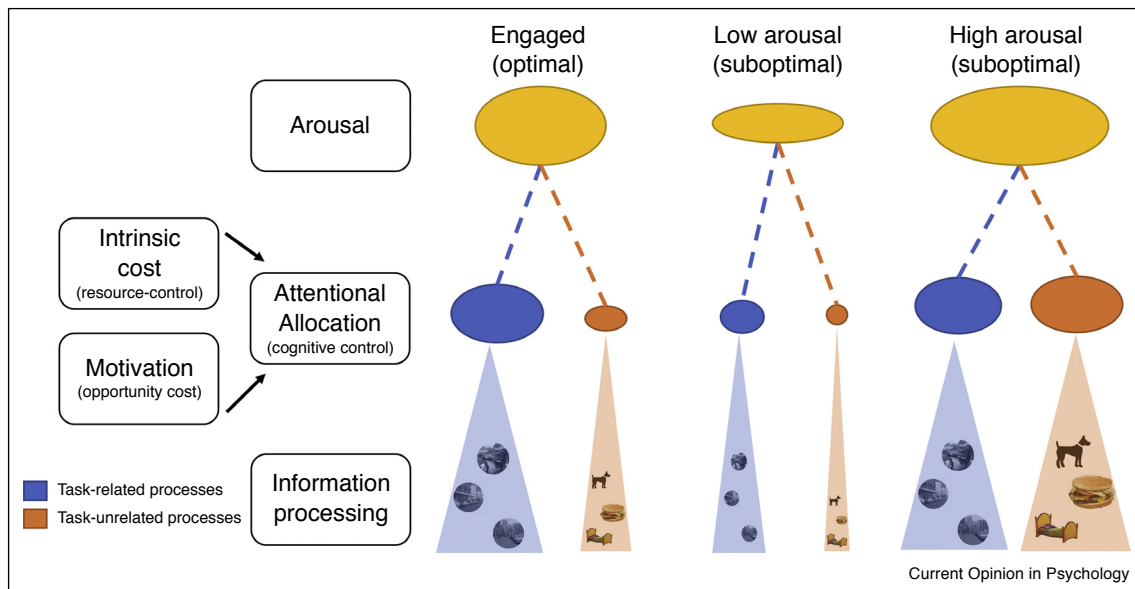
Optimal physiological arousal is critical for attention and a major contributor to arousal is the locus-coeruleus (LC) noradrenergic system (for review see Refs. [31,32]). It has been demonstrated that low tonic LC activity is associated with low task engagement due to hypoarousal, while high tonic LC activity results in low task engagement due to hyperarousal and distractibility. Importantly, both suboptimal arousal states are associated with weak LC responses to task relevant stimuli (phasic responses). This has led to a classic inverted U-shaped function to describe the relationship between performance and arousal (Yerkes Dodson).

The effects of arousal are thought to impact sustained attention via LC-norepinephrine neuromodulation of frontal-parietal control regions [32,33]. Specifically, these neuromodulatory projections, at intermediate levels of LC activity, are thought to reduce background noise, and enhance neural (phasic) responses to salient stimuli, thus enhancing task-related information processing capacity and reducing signal-to-noise ratios. Recent support for the idea that arousal can have dissociable effects on sustained attention come from studies using pupillometry (a proxy for LC activity) to investigate the role of arousal in fluctuations of both objective and subjective measures of sustained attention. Specifically, several studies from Unsworth and colleagues found that mind-wandering states were associated with small pretrial tonic pupil diameters (lower arousal) and externally distracted states were associated with larger pretrial tonic pupil diameters (higher arousal) [34,35<sup>••</sup>]. Importantly, both were associated with similar behavioral/objective measures of attention (slower RTs), and smaller task-evoked phasic pupillary responses [34,35<sup>••</sup>,36]. Further, these pupillary indices of arousal tracked changes in performance over time (vigilance decrements) [35<sup>••</sup>]. Other evidence for the contribution of arousal to sustained attention comes from an experimental manipulation of arousal via the threat of shock, which enhanced sustained attention and reduced fluctuations [37]. Overall, these studies indicate that suboptimal arousal, potentially via its modulation of flexible control cortices, can account for different types of lapses of attention.

### Attentional allocation

While arousal can be thought of as the baseline amount of attentional resources available to be allocated toward a given task, performance on a task is not solely a function of resource availability but also how that resource is allocated. Thought probes during sustained attention tasks (for review see Ref. [38]) have demonstrated that subjective reports of the degree to which attention is directed to the task (versus task-unrelated or stimulus-unrelated thoughts) correlate with objective measures of performance [34,39,40]. Broadly, mind wandering during a cognitive task is thought to represent task-unrelated and

Figure 2



Task performance is modulated by arousal, attentional allocation and information processing. During an optimal attentional state (for the gradCPT in this example), arousal will enable a sufficient degree of cognitive/attentional resources (yellow) and cognitive control will determine the proportion of the available resources that will be dedicated to the task, which is impacted by the intrinsic cost of control as well as motivation. In a low arousal state, there are less cognitive resources to be allocated and thus task performance may be suboptimal, even if those resources are primarily dedicated to the task. In a high-arousal/distracted state, there may be sufficient resources but attention will be less selective, as it is directed toward task-unrelated mental processes as well.

stimulus unrelated thoughts that impair ongoing performance. Recent studies of mind wandering reveal a heterogeneous process that includes a number of distinct dimensions and enable finer parsing [41,42]. Most relevant to understanding sustained attention, the intentionality of mind wandering has been highlighted as an important distinction [43,44]. Finer characterization of intentional versus unintentional mind wandering has revealed that each can be differentially influenced by task difficulty, such that intentional mind wandering decreases with task difficulty, while unintentional mind wandering shows the opposite pattern [44] (Although see Ref. [45]). Further, motivation can differentially impact the ratio of intentional versus unintentional mind wandering [46]. Carefully designed subjective thought probes, combined with a number of the other experimental techniques described in this review, including dynamic variability measures and pupillometry measures [34,39] are helping to characterize the relationship between mind wandering and sustained attention.

### Control model

The notion that task-unrelated thoughts can be intentional or unintentional alludes to the critical role cognitive control plays in sustaining attention to a task. To further explain how cognitive resources are allocated, several antagonistic theories propose that the exertion of

cognitive control carries an intrinsic cost [9,10,47]. The resource-control theory, developed from findings suggesting that mind wandering (self-generated thought) is the default state for individuals, proposes that there is a continuous bias for attentional resources to be directed toward mind wandering [47]. This model predicts that brain regions associated with attentional control (frontal-parietal and dorsal attention networks) versus mind wandering (default mode network) should both fluctuate in time with sustained attention performance, and that they should be inversely related. Further, it suggests that communication between attentional control regions and default mode regions should optimally be minimized. In support of this prediction, we recently found that greater dorsal attention-default mode coupling was associated with more variable, out-of-the-zone attentional states, suggesting the push-pull relationship between these networks impacts sustained attention [26] (see also Ref. [25]). As further predicted by these models, periods of increased variability were associated with greater mind wandering [39]. Additionally, attentional lapses were associated with moment-to-moment increases in default mode activity and decreases in dorsal attention activity [21,48]. Finally, individuals with greater attention-default coupling across the entire task tend to have worse overall task performance [48]. Together, this evidence supports models of sustained attention that posit oscillations

between ‘default’ and ‘controlled’ states, and these state transitions are reflected in fluctuations in objective measures of performance, subjective reports of mind wandering, and the ongoing dynamics of the dorsal attention and default mode networks during sustained attention tasks.

### Opportunity cost model

While the control model provides an account of several empirical findings associated with sustained attention, it does not explain why controlled states are costly (or conversely why default states are preferred). One potential answer comes from the opportunity cost model, which posits that the subjective cost of cognitive control is a function of the subjective value of the current state of mental activity relative to the value of other possible mental activities, such as mind wandering. In other words, sustaining attention to a given task reflects a cost such that the higher the subjective value of possible alternative tasks relative to the current task, the greater the perceived effort [7,49]. The focus in this theory is thus how cognitive effort is distributed on the basis of reward, valuation, and/or motivation, not diminishing availability. Experimental manipulations of motivation have helped support this model, such that performance-based rewards can enhance sustained attention [8,13,50]. Specifically, we found that by keeping motivation constant during sustained attention, with the promise of a looming potential reward, vigilance decrements were eliminated, and overall attention lapses were reduced [8] (also see Ref. [50]). Further, motivation probes show that when participants are more motivated (intrinsically), they mind wander less [46], and motivation induces strategic shifts toward more sustained and proactive engagement of attentional resources resulting in reduced attentional fluctuations [28,51]. Overall, these studies indicate that motivation and the value of sustaining attention contributes to performance fluctuations.

### Information processing perspective

The previous models have all conceptualized attention as a resource that is in some way limited and thus must be allocated. However, what it means for attention to be allocated to a particular task versus task unrelated thoughts is also of central importance. Attention is thought to facilitate both the representation of task-relevant stimuli and the communication of these representations across large-scale brain networks [26<sup>••</sup>,52–56]. Recently, using the gradCPT, dynamic measures of variability within-subject, and fMRI, our lab demonstrated that in-the-zone periods were associated with increased fidelity, or reliability of visual representations as well as more effective communication of this information between visual and attentional control regions of the brain [26<sup>••</sup>]. Interestingly, the effects of motivation on information processing were orthogonal, such that there was increased communication of stimulus information between attentional networks and the default mode

network—which is associated with internal mentation—during rewarded (high-motivation) trials. This suggests that when motivated, participants’ internal thoughts may in fact be more stimulus-related. Using EEG and naturalistic stimuli, another study found that attention enhances reliability of stimulus-specific patterns of brain activity, with separable effects based on manipulations of task-unrelated thoughts versus the engaging nature of the stimuli (i.e. motivation) [57]. Similarly, manipulations of top-down versus bottom-up attentional factors (such as visual interruption and task-relevance) had differential effects on the reliability of stimulus representations using fMRI [58]. Further, the use of specific information-based visual processing strategies has been associated with individual differences in sustained attention [59]. These studies suggest that information processing models can differentiate multiple states of optimal sustained attention, due to intrinsic/natural fluctuations, versus changes in external motivation and effort. These sophisticated neuroimaging approaches provide a more direct way to measure stimulus-specific processing than traditional functional activity/connectivity, which does not isolate stimulus-related from stimulus-unrelated information processing. In fact, we find that the two types of analyses can dissociate, such that greater overall activation in the dorsal attention network can be associated with weaker fidelity of visual representations [30,48]. Similarly, greater representational connectivity between dorsal attention and default mode (stimulus-specific communication) can co-occur alongside weaker functional connectivity (stimulus-unrelated communication) [26<sup>••</sup>].

In a related efficiency model, optimal sustained is thought to be a state in which information processing is accomplished with the minimum effort and computational resources. In support of this model, we have demonstrated that in-the-zone periods of stable and accurate behavior are associated with greater visual processing efficiency. Specifically, we find that processing of task-unrelated information is more extensive during these in-the-zone periods, akin to states of lower perceptual load [30]. Further, activation of attention-related brain regions tends to be higher during out-of-the-zone periods, potentially reflecting an inefficient mode of information processing [25,30,48]. Additionally, these optimal periods, compared to suboptimal periods were more negatively impacted by transcranial magnetic stimulation to dorsal attention regions, again indicating that optimal sustained attention is accomplished by efficient recruitment of top-down control [27]. One interpretation of the efficiency model is that one optimal attentional state may be more ‘effortless’, potentially related to the idea of flow [2<sup>•</sup>,18].

### Future directions

These models (Figure 2) have implications for understanding attentional and cognitive dysfunction across a



range of clinical populations. For example, sustained attentional impairments in ADHD have been conceptualized as deficits in arousal, motivation [60], and interactions between control and mind wandering systems [61], which could potentially explain the heterogeneity of the disorder [62]. Similarly, attentional impairments due to trauma [63–68] have been linked to both dysregulated arousal and decreased motivation due to anhedonia [69,70]. Age-related impairments in attention [29,71] may be critically linked to arousal/LC function [72]. In contrast to neuropsychiatric disorders, age-related attention problems are actually accompanied by mind wandering decreases and motivation increases [73].

In addition to further characterizing heterogeneous impairments in sustained attention, these models have the potential to inform interventions, as remediation may require a more targeted approach [74]. Interventions that target sustained attention are likely to generalize to other aspects of cognition. Van Vleet *et al.* [75] found that two weeks of a sustained attention training in older adults leads to improved working memory span and verbal fluency, further demonstrating that sustained attention underlies numerous other cognitive functions. This is consistent with recent studies that have highlighted how fluctuations in sustained attention underlie failures of working memory [32,76,77] and long-term memory encoding [78,79]. Even online feedback can reduce attentional lapses and improve working memory, potentially via arousal and/or motivational mechanisms [80].

In sum, a better appreciation for the multiple neurocognitive factors that impact the ability to sustain attention has broad implications for cognitive psychology, clinical psychology, and neuroscience. Developing novel, integrative, and reliable paradigms that can compare the relative contributions of the above the models, including neuroimaging, pupillometry, experience sampling, and individual differences will ultimately advance our understanding of sustained attention.

### Conflict of interest statement

Nothing declared.

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